# Fundamentals of Rendering -Reflectance Functions

Chapter 9 of "Physically Based Rendering" by Pharr&Humphreys

# Chapter 9

9.0	Terms, etc.
9.1	PBRT Interface
9.2	Specular reflection and transmission  Read about Snell's law and Fresnel reflection; we'll cover this after covering reflectance integrals
9.3-9.6	Specific models of reflection: Lambertian, microfacts, Lafortune, and Fresnel effects

## Surface Reflectance

- Measured data
  - Gonioreflectometer (See the Cornell Lab)
- Phenomenological models
  - · Intuitive parameters
  - · Most of graphics
- Simulation
  - Know composition of some materials
  - · simulate complicated reflection from simple basis
- Physical (wave) optics
  - Using Maxwell's equations
  - · Computationally expensive
- Geometric optics
  - Use of geometric surface properties

#### Gonioreflectometer



## Surface Reflectance



#### Diffuse

- Scatter light equally in all directions
- E.g. dull chalkboards, matte paint



#### Glossy specular

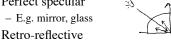
- Preferred set of direction around reflected direction
- E.g. plastic, high-gloss paint

– E.g. velvet or earth's moon



#### Perfect specular

- E.g. mirror, glass









## Surface Reflectance

- Isotropic vs. anisotropic
  - If you turn an object around a point -> does the shading change?





## Surface Reflectance

Phong (isotropic)

Banks (anisotropic)

Banks (anisotronic)











## Surface Properties

 Reflected radiance is proportional to incoming flux and to irradiance (incident power per unit area).

$$dL_o(p,\omega_o) \propto dE(p,\omega_i)$$

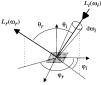


Figure 2.9: Bidirectional reflection distribution function

#### The BSDF

- Bidirectional Scattering Distribution Function: f(p, ω<sub>o</sub>, ω<sub>i</sub>)
- Measures portion of incident irradiance  $(E_i)$  that is reflected as radiance  $(L_o)$

$$f(p, \mathbf{\omega}_o, \mathbf{\omega}_i) = \frac{dL_o(p, \mathbf{\omega}_o)}{dE(p, \mathbf{\omega}_i)}$$

 Or the ratio between incident radiance (L<sub>i</sub>) and reflected radiance (L<sub>o</sub>)

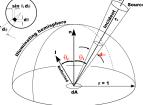
$$f(p, \mathbf{\omega}_o, \mathbf{\omega}_i) = \frac{dL_o(p, \mathbf{\omega}_o)}{dE(p, \mathbf{\omega}_i)} = \frac{dL_o(p, \mathbf{\omega}_o)}{L_i(p, \mathbf{\omega}_i) \cos \theta_i d\mathbf{\omega}_i}$$

#### The BRDF and the BTDF

- Bidirectional Reflectance Distribution Function (BRDF)
  - Describes distribution of reflected light
- Bidirectional Transmittance Distribution Function (BTDF)
  - Describes distribution of transmitted light
- BSDF = BRDF + BTDF

## Illumination via the BxDF

- The Reflectance Equation  $L_o(p, \omega_o) = \int_{S^2} f(p, \omega_o, \omega_i) L_i(p, \omega_i) |\cos \theta_i| d\omega_i$
- The reflected radiance is
  - the sum of the incident radiance over the entire (hemi)sphere
  - foreshortened
  - scaled by the BxDF



#### **Parameterizations**

- 6-D BRDF  $f_r(p, \omega_o, \omega_i)$ 
  - Incident direction  $L_i$
  - $_{-}$  Reflected/Outgoing direction  $L_{a}$
  - Surface position p: textured BxDF
- 4-D BRDF  $f_r(\omega_o, \omega_i)$ 
  - Homogeneous material
  - Anisotropic, depends on incoming azimuth
  - e.g. hair, brushed metal, ornaments

#### **Parameterizations**

- 3-D BRDF  $f_r(\theta_o, \theta_i, \phi_o \phi_i)$ 
  - Isotropic, independent of incoming azimuth
  - e.g. Phong highlight
- 1-D BRDF  $f_r(\theta_i)$ 
  - Perfectly diffuse
  - e.g. Lambertian

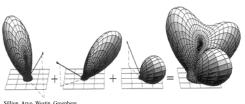
## **BxDF** Property 0

- Ranges from 0 to  $\infty$  (strictly positive)
- Infinite when radiance distribution from single incident ray

$$f_r(p, \omega_o, \omega_i) = \frac{dL_o(p, \omega_o)}{dE(p, \omega_i)} = \frac{dL_o(p, \omega_o)}{L_i(p, \omega_i) \cos\theta_i d\omega_i}$$

## **BRDF** Property 1

• Linearity of functions



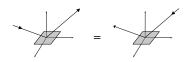
Sillion, Arvo, Westin, Greenberg

## **BRDF** Property 2

Helmholtz Reciprocity

$$f_r(\omega_o, \omega_i) = f_r(\omega_i, \omega_o)$$

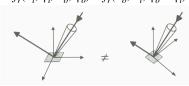
- Materials are not a one-way street
- Incoming to outgoing pathway same as outgoing to incoming pathway



# **BRDF** Property 3

• Isotropic vs. anisotropic

$$f_r(\theta_i, \phi_i, \theta_o, \phi_o) = f_r(\theta_o, \theta_i, \phi_o - \phi_i)$$



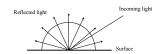
• Reciprocity and isotropy

$$f_r(\theta_o,\theta_i,\phi_o-\phi_i) = f_r(\theta_i,\theta_o,\phi_i-\phi_o) = f_r(\theta_o,\theta_i,|\phi_o-\phi_i|)$$

$$f_r(\mathbf{\omega}_o, \mathbf{\omega}_i, \mathbf{\phi}_o - \mathbf{\phi}_i) = f_r(\mathbf{\omega}_i, \mathbf{\omega}_o, \mathbf{\phi}_i - \mathbf{\phi}_o) = f_r(\mathbf{\omega}_o, \mathbf{\omega}_i, |\mathbf{\phi}_o - \mathbf{\phi}_i|)$$

# **BRDF** Property 4

- Conservation of Energy
  - Materials must not add energy (except for lights)
  - Materials must absorb some amount of energy
  - When integrated, must add to less than one



#### Reflectance

• Reflectance ratio of reflected to incident flux

$$\rho(p) = \frac{d\Phi_o(p)}{d\Phi_i(p)} = \frac{\int_{\Omega_o} L_o(p, \omega_o) \cos \theta_o d\omega_o}{\int_{\Omega_i} L_i(p, \omega_i) \cos \theta_i d\omega_i}$$

$$=\frac{\int_{\Omega_o}\int_{\Omega_i}f(p,\omega_i,\omega_o)L_i(p,\omega_i)\cos\theta_i\cos\theta_o d\omega_i d\omega_o}{\int_{\Omega_i}L_i(p,\omega_i)\cos\theta_i d\omega_i}$$

Reflectance between 0 and 1

#### Reflectance

• If incident distribution is uniform and isotropic

$$\rho(p) = \frac{\int_{\Omega_o} \int_{\Omega_i} f(p, \omega_i, \omega_o) L_i(p, \omega_i) \cos \theta_i \cos \theta_o d\omega_i d\omega_o}{\int_{\Omega_i} L_i(p, \omega_i) \cos \theta_i d\omega_i}$$

$$=\frac{\int_{\Omega_o}\int_{\Omega_i}f(p,\mathbf{w}_i,\mathbf{w}_o)\cos\theta_i\cos\theta_od\mathbf{w}_id\mathbf{w}_o}{\int_{\Omega_i}\cos\theta_id\mathbf{w}_i}$$

Relates reflectance to the BRDF

#### Reflectance

- Hemispherical-directional reflectance
  - Reflection in a given direction due to constant illumination over a hemisphere
  - Total reflection over hemisphere due to light from a given direction (reciprocity)
  - Also called albedo incoming photon is reflected with probability less than one

$$\rho_{hd}(p, \omega_o) = \int_{H^2(n)} f_r(p, \omega_o \omega_i) |\cos \theta_i| d\omega_i$$

## Reflectance

- Hemispherical-hemispherical reflectance
  - Constant spectral value that gives the fraction of incident light reflected by a surface when the incident light is the same from all directions

$$\rho_{hh}(p) = \frac{1}{\pi} \int_{H^2(n)} \int_{H^2(n)} f_r(p, \omega_o \omega_i) |\cos \theta_o \cos \theta_i| d\omega_o d\omega_i$$

## Representations

- Tabulated BRDF's
  - Require dense sampling and interpolation scheme
- · Factorization
  - Into two 2D functions for data reduction (often after reparameterization)
- Basis Functions (Spherical Harmonics)
  - Loss of quality for high frequencies
- Analytical Models
  - Rough approximation only
  - Very compact
  - Most often represented as parametric equation (Phong, Cook-Torrance, etc.)

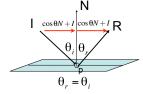
## Law of Reflection

• Angle of reflectance = angle of incidence

$$R = -I + (\cos \theta N + I) + (\cos \theta N + I)$$

$$R = I - 2(I \cdot N)N$$

$$\omega_r = R(\omega_i, N)$$



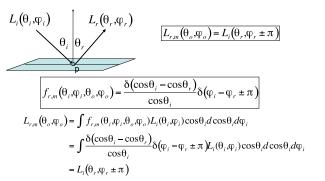


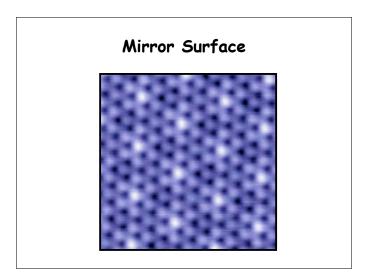
 $\varphi_r = (\varphi_i + \pi) \mod 2\pi$ 

# Polished Metal

# Ideal Reflection (Mirror)

• BRDF cast as a delta function





## Snell's Law

•  $\eta_i$ ,  $\eta_t$  indices of refraction (ratio of speed of light in vacuum to the speed of light i the medium)  $\eta_i \sin \theta_i = \eta_i \sin \theta_i$   $\omega_t = T(\omega_i, N)$ 

 $\eta_i N \times I = \eta_i N \times T$ 

$$\theta_i$$
  $\varphi_r$ 



 $\varphi_r = (\varphi_i + \pi) \bmod 2\pi$ 

# Law of Refraction

• Starting at Snell's law:

$$\frac{\eta_i}{\eta_t} N \times I = N \times T$$
$$N \times (T - \mu I) = 0$$

- We conclude that  $T = \mu I + \gamma N$
- Assuming a normalized T:  $T^2 = 1 = \mu^2 + \gamma^2 + 2\mu\gamma(I \cdot N)$
- Solving this quadratic equation:  $\gamma = -\mu(I \cdot N) \pm \sqrt{1 \mu^2(1 (I \cdot N)^2)}$
- Leads to the total reflection condition:  $1 \mu^2 (1 (I \cdot N)^2) \ge 0$

# Optical Manhole

- Total Internal Reflection
- For water  $n_w = 4/3$





Livingston and Lynch

#### Fresnel Reflection

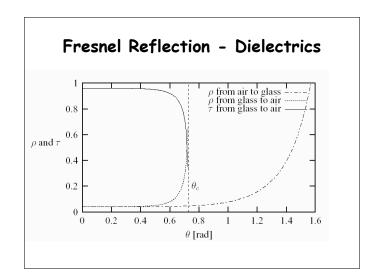
- At top layer interface
  - Some light is reflected,
  - Remainder is transmitted through
- Simple ray-tracers: just given as a constant
- Physically based depends on
  - incident angle
  - Polarization of light
  - wavelength
- Solution of Maxwell's equations to smooth surfaces
- · Dielectrics vs. conductors

## Fresnel Reflection - Dielectrics

- Objects that don't conduct electricity (e.g. glass)
- Fresnel term F for a dielectric is proportion of reflection (e.g. glass, plastic)
  - grazing angles: 100% reflected (see the material well!)
  - normal angles: 5% reflected (almost mirror-like)

#### Fresnel Reflection - Dielectrics

- Polarized light:  $r_{\parallel} = \frac{\eta_{i} \cos \theta_{i} \eta_{i} \cos \theta_{t}}{\eta_{i} \cos \theta_{i} + \eta_{i} \cos \theta_{t}}$  $r_{\perp} = \frac{\eta_{i} \cos \theta_{i} \eta_{i} \cos \theta_{t}}{\eta_{i} \cos \theta_{i} + \eta_{i} \cos \theta_{t}}$
- Where  $\omega_t$  is computed according to Snell's law
- Unpolarized light:  $F_r(\omega_i) = \frac{1}{2} \left( r_{\parallel}^2 + r_{\perp}^2 \right)$  $F_r(\omega_i) = \left( 1 F_r(\omega_i) \right)$



## Fresnel Reflection - Conductor

- Typically metals
- No transmission
- Absorption coefficient k

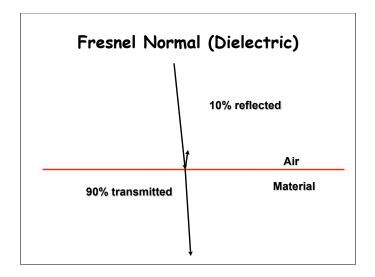
## Fresnel Reflection - Conductor

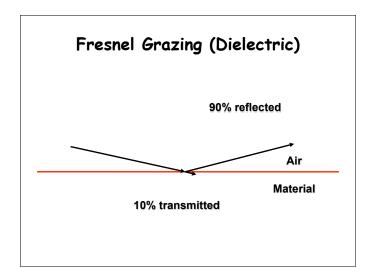
- Polarized light:  $r_{\parallel}^{2} = \frac{(\eta^{2} + k^{2})\cos^{2}\theta_{i} - 2\eta\cos\theta_{i} + 1}{(\eta^{2} + k^{2})\cos^{2}\theta_{i} + 2\eta\cos\theta_{i} + 1}$   $r_{\perp}^{2} = \frac{(\eta^{2} + k^{2}) - 2\eta\cos\theta_{i} + \cos^{2}\theta_{i}}{(\eta^{2} + k^{2}) + 2\eta\cos\theta_{i} + \cos^{2}\theta_{i}}$
- Unpolarized light:

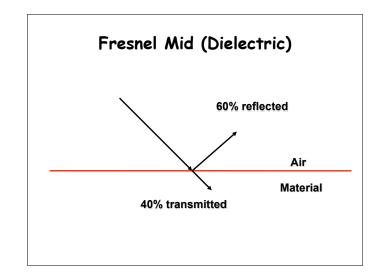
$$F_r(\omega_i) = \frac{1}{2} \left( r_{\parallel}^2 + r_{\perp}^2 \right)$$

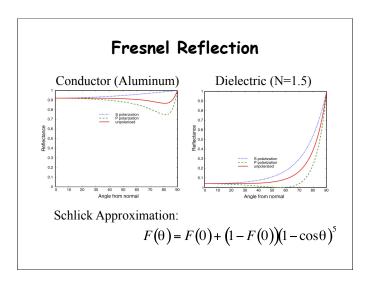
## Fresnel Reflection - Conductor

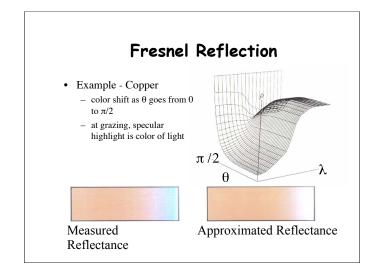
- How to determine k or  $\eta$ ?
- Measure  $F_r$  for  $\theta_i$ =0 (normal angle)
- 1. Assume k = 0  $r_{\perp}^{2} = r_{\parallel}^{2} = \frac{(\eta 1)^{2}}{(\eta + 1)^{2}} \qquad \eta = \frac{1 + \sqrt{F_{r}(0)}}{1 \sqrt{F_{r}(0)}}$
- 2. Assume  $\eta = 1$   $r_{\perp}^2 = r_{\parallel}^2 = \frac{k^2}{k^2 + 4} \qquad k = 2\sqrt{\frac{F_r(0)}{1 F_r(0)}}$











## Ideal Specular - Summary

• Reflection:

$$f_r(p,\omega_i,\omega_o) = F_r(\omega_i) \frac{\delta(\omega_i - R(\omega_o, N))}{|\cos \theta_i|}$$

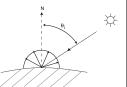
• Transmission:

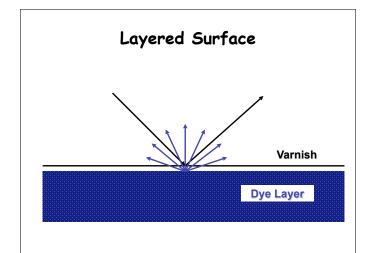
$$f_t(p,\omega_i,\omega_o) = \frac{\eta_o^2}{\eta_i^2} (1 - F_r(\omega_i)) \frac{\delta(\omega_o - T(\omega_i, N))}{|\cos \theta_i|}$$

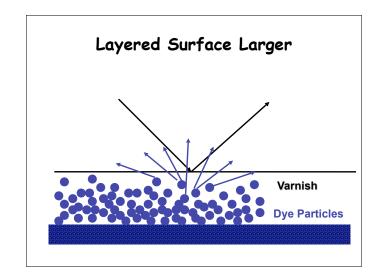
## Ideal Diffuse Reflection

- Uniform
  - Sends equal amounts of light in all directions
  - Amount depends on angle of incidence
- Perfect
  - all incoming light reflected
  - no absorption

$$f_r(\omega_i,\omega_o) \propto k_d$$







# Ideal Diffuse Reflection

$$\begin{split} L_{o,d}(\omega_o) &= \int_{\Omega} f_{r,d}(\omega_i, \omega_r) L_i(\omega_i) \cos\theta_i d\omega_i \\ &= f_{r,d} \int_{\Omega} L_i(\omega_i) \cos\theta_i d\omega_i \\ &= f_{r,d} E \\ M &= \int_{\Omega} L_{o,d}(\omega_o) \cos\theta_o d\omega_o \\ &= L_{o,d} \int_{\Omega} \cos\theta_o d\omega_o \\ &= L_{o,d} \pi \end{split} \qquad \qquad \rho_d = \frac{M}{E} = \frac{L_{o,d} \pi}{E} = \frac{f_{r,d} E \pi}{E} = f_{r,d} \pi$$

Lamberts Cosine Law:  $M = \rho_d E = \rho_d E_s \cos \theta_s$ 

# Diffuse

- Helmholtz reciprocity?
- Energy preserving?

$$\rho_d \le 1$$

$$f_{r,d} = \frac{\rho_d}{\pi} \le \frac{1}{\pi}$$

#### Reflectance Models

- Ideal
  - Diffuse
  - Specular
- · Ad-hoc: Phong
  - Classical / Blinn
  - Modified
  - Ward
  - Lafortune
- Microfacets (Physically-based)
  - Torrance-Sparrow (Cook-Torrance)
  - Ashkhimin

## Classical Phong Model

$$L_o(p, \mathbf{\omega}_o) = (k_d(N \cdot \mathbf{\omega}_i) + k_d(R(\mathbf{\omega}_o, N) \cdot \mathbf{\omega}_i)^e)L_i(p, \mathbf{\omega}_i)$$

- Where  $0 < k_d, k_s < 1$  and e > 0
- Cast as a BRDF:

$$f_r(p, \mathbf{\omega}_i, \mathbf{\omega}_o) = k_d + k_s \frac{(R(\mathbf{\omega}_o, N) \cdot \mathbf{\omega}_i)^e}{(N \cdot \mathbf{\omega}_i)}$$

- · Not reciprocal
- · Not energy-preserving
- Specifically, too reflective at glancing angles, but not specular enough
- But cosine lobe itself symmetrical in  $\omega_i$  and  $\omega_o$

## Blinn-Phong

Like classical Phong, but based on half-way vector

$$f_r(p, \omega_i, \omega_o) = k_d + k_s \frac{(H(\omega_o, \omega_i) \cdot N)^e}{(N \cdot \omega_i)}$$

- $\omega_h = H(\omega_o, \omega_i) = norm(\omega_o + \omega_i)$
- Implemented in OpenGL
- Not reciprocal
- Not energy-preserving
- Specifically, too reflective at glancing angles, but not specular enough
- But cosine lobe itself symmetrical in  $\boldsymbol{\omega}_i$  and  $\boldsymbol{\omega}_o$

## Modified Phong

$$f_r(p, \omega_i, \omega_o) = \frac{k_d}{\pi} + \frac{k_s(e+2)}{2\pi} (R(\omega_o, N) \cdot \omega_i)^e$$

- For energy conservation: k<sub>d</sub> + k<sub>s</sub> < 1 (sufficient, not necessary)
- Peak gets higher as it gets sharper, but same total reflectivity

## Ward-Phong

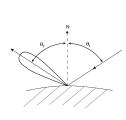
- Based on Gaussians  $f_r(p,\omega_i,\omega_o) = \frac{k_d}{\pi} + \frac{k_s}{\sqrt{\cos\theta_i \cos\theta_o}} \frac{\exp\left(-\tan^2\omega_h/\alpha^2\right)}{4\pi\alpha^2}$
- α: surface roughness, or blur in specular component.

## Lafortune Model

- Phong cosine lobes symmetrical (reciprocal), easy to compute
- Add more lobes in order to match with measured BRDF
- How to generalize to anisotropic BRDFs?
- weight dot product:

$$f_r(p,\omega_i,\omega_o) = \frac{k_d}{\pi} + \sum_{i=1}^{nlobes} (\omega_o R_i \omega_i)^{e_i}$$

## Glossy





# Physically-based Models

- Some basic principles common to many models:
  - Fresnel effect
  - Surface self-shadowing
  - Microfacets
- To really model well how surfaces reflect light, need to eventually move beyond BRDF
- Different physical models required for different kinds of materials
- Some kinds of materials don't have good models
- Remember that BRDF makes approximation of completely local surface reflectance!

#### Cook-Torrance Model

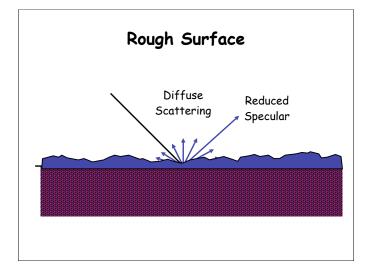
- Based in part on the earlier Torrance-Sparrow model
- Neglects multiple scattering

$$f_r(p,\omega_i,\omega_o) = \frac{F_r(\omega_h)D(\omega_h)G(\omega_o,\omega_i)}{4\cos\theta_i\cos\theta_o}$$

- D Microfacet Distribution Function
  - how many "cracks" do we have that point in our (viewing) direction?
- G Geometrical Attenuation Factor
  - light gets obscured by other "bumps"
- F Fresnel Term

#### Microfacet Models

- · Microscopically rough surface
- · Specular facets oriented randomly
- measure of scattering due to variation in angle of microfacets
- a statistic approximation, I.e. need a statistic distribution function



## Microfacet Distribution Function D

• Blinn

$$D(\mathbf{\omega}_h) = ce^{-(\frac{\mathbf{\omega}_h \cdot N}{m})^2}$$

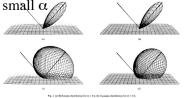
- where m is the root mean square slope of the facets (as an angle)
- Blinn says c is a arbitrary constant
- Really should be chosen to normalize BRDF. . .

#### Microfacet Distribution Function D

• Beckmann (most effective)

$$D(\omega_h) = \frac{1}{m^2 \cos^4 \alpha} e^{-(\frac{\tan \alpha}{m})^2}$$

- Represents a distribution of slopes
- But  $\alpha = \tan \alpha$  for small  $\alpha$



#### Multiscale Distribution Function

• May want to model multiple scales of roughness:  $D(\omega_h) = \sum_j w_j D_j(\omega_h)$ 

$$\sum_{i} w_{j} = 1$$

• Bumps on bumps ...

# Self-Shadowing (V-Groove Model)

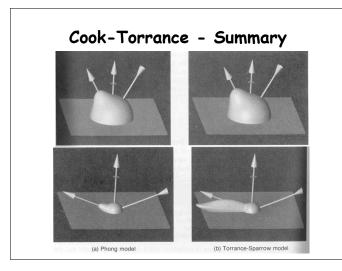
- Geometrical Attenuation Factor G
  - how much are the "cracks" obstructing themselves?

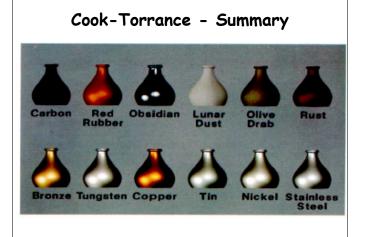






$$G = min[1, \frac{2(N \cdot \omega_h)(N \cdot \omega_o)}{(\omega_o \cdot \omega_h)}, \frac{2(N \cdot \omega_h)(N \cdot \omega_i)}{(\omega_o \cdot \omega_h)}]$$





## Ashkhimin Model

- Modern Phong
- Phenomological, but:
  - Physically plausible
  - Anisotropic
- Good for both Monte-Carlo and HW implementation

#### Ashkhimin Model

- Weighted sum of diffuse and specular part:  $f_r(p,\omega_i,\omega_o) = k_d(1-k_s)f_d(p,\omega_i,\omega_o) + k_sf_s(p,\omega_i,\omega_o)$ 
  - Dependence of diffuse weight on k<sub>s</sub> decreases diffuse reflectance when specular reflectance is large
  - Specular part f<sub>s</sub> not an impulse, really just glossy
  - Diffuse part f<sub>d</sub> not constant: energy specularly reflected cannot be diffusely reflected
  - For metals,  $f_d = 0$

#### Ashkhimin Model

- k<sub>s</sub>: Spectrum or color of specular reflectance at normal incidence.
- k<sub>d</sub>: Spectrum or color of diffuse reflectance (away from the specular peak).
- $q_u, q_v$ : Exponents to control shape of specular peak.
  - Similar effects to Blinn-Phong model
  - If an isotropic model is desired, use single value q
  - A larger value gives a sharper peak
  - Anisotropic model requires two tangent vectors u and v
  - The value q<sub>u</sub> controls sharpness in the direction of u
  - The value q<sub>v</sub> controls sharpness in the direction of v

## Ashkhimin Model

•  $\phi$  is the angle between u and  $\omega$ h

$$D(\mathbf{\omega}_h) = \sqrt{(q_u + 1)(q_v + 1)}(\mathbf{\omega}_h \cdot N)^{(q_u \cos^2 \phi + q_v \cos^2 \phi)}$$

#### Ashkhimin Model

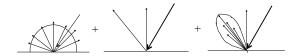
• Diffuse term given by:

$$f_d(p, \omega_i, \omega_o) = \frac{28}{23\pi} (1 - (1 - (\omega_o \cdot N))^5) (1 - (1 - (\omega_i \cdot N))^5)$$

- Leading constant chosen to ensure energy conservation
- Form comes from Schlick approximation to Fresnel factor
- Diffuse reflection due to subsurface scattering: once in, once out

# Complex BRDF

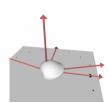
• Combination of the three.



## **BRDF** illustrations







Oren-Nayar

