## Divide-and-Conquer

Reading: CLRS Sections 2.3, 4.1, 4.2, 4.3, 28.2, 33.4.

## CSE 6331 Algorithms

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## Divide and Conquer

- Given an instance $x$ of a problem, the divide-and-conquer method works as follows:
function $\operatorname{DAC}(x)$
if $x$ is sufficiently small then
solve it directly
else
divide $x$ into smaller subinstances $x_{1}, x_{2}, \ldots, x_{k}$;
$y_{i} \leftarrow \operatorname{DAC}\left(x_{i}\right)$, for $1 \leq i \leq k ;$
$y \leftarrow \operatorname{combine}\left(y_{1}, y_{2}, \ldots, y_{k}\right)$;
return(y)


## Analysis of Divide-and-Conquer

- Typically, $x_{1}, \ldots, x_{k}$ are of the same size, say $\lfloor n / b\rfloor$.
- In that case, the time complexity of DAC, $T(n)$, satisfies a recurrence:

$$
T(n)= \begin{cases}c & \text { if } n \leq n_{0} \\ k T(\lfloor n / b\rfloor)+f(n) & \text { if } n>n_{0}\end{cases}
$$

- Where $f(n)$ is the running time of dividing $x$ and combining $y_{i}$ 's.
- What is $c$ ?
- What is $n_{0}$ ?

Mergesort: Sort an array A[1..n]

- procedure mergesort ( $A[i . . j])$
// Sort A[i..j]//
if $i=j$ then return // base case //
$m \leftarrow\lfloor(i+j) / 2\rfloor$
mergesort ( $A[$ i...m])
mergesort $(A[m+1 . . j])$
divide and conquer
$\operatorname{merge}(A[i . . m], A[m+1 . . j])$ )
- Initial call: mergesort (A[1..n])


## Analysis of Mergesort

- Let $T(n)$ denote the running time of mergesorting an array of size $n$.
- $T(n)$ satisfies the recurrence:

$$
T(n)= \begin{cases}c & \text { if } n \leq 1 \\ T(\lfloor n / 2\rfloor)+T(\lceil n / 2\rceil)+\Theta(n) & \text { if } n>1\end{cases}
$$

- Solving the recurrence yields:

$$
T(n)=\Theta(n \log n)
$$

- We will learn how to solve such recurrences.


## Linked-List Version of Mergesort

- function mergesort $(i, j)$
$/ /$ Sort $A[i . . j]$. Initially, $\operatorname{link}[k]=0,1 \leq k \leq n . / /$ global $A[1 . . n], \operatorname{link}[1 . . n]$
if $i=j$ then return( $i$ ) // base case //
$m \leftarrow\lfloor(i+j) / 2\rfloor$
$p t r 1 \leftarrow \operatorname{mergesort}(i, m)$
ptr $2 \leftarrow \operatorname{mergesort}(m+1, j)$ divide and conquer
$p t r \leftarrow \operatorname{merge}(p t r 1, p t r 2)$ return $(p t r)$


## Solving Recurrences

- Suppose a function $T(n)$ satisfies the recurrence

$$
T(n)= \begin{cases}c & \text { if } n \leq 1 \\ 3 T(\lfloor n / 4\rfloor)+n & \text { if } n>1\end{cases}
$$

where $c$ is a positive constant.

- Wish to obtain a function $g(n)$ such that $T(n)=\Theta(g(n))$.
- Will solve it using various methods: Iteration Method, Recurrence Tree, Guess and Prove, and Master Method.


## Iteration Method

Assume $n$ is a power of 4 . Say, $n=4^{m}$. Then,

$$
\begin{aligned}
T(n) & =n+3 T(n / 4) \\
= & n+3[n / 4+3 T(n / 16)] \\
= & n+3(n / 4)+9[(n / 16)+3 T(n / 64)] \\
= & n+(3 / 4) n+(3 / 4)^{2} n+3^{3} T\left(n / 4^{3}\right) \\
= & n\left[1+\frac{3}{4}+\left(\frac{3}{4}\right)^{2}+\cdots+\left(\frac{3}{4}\right)^{m-1}\right]+3^{m} T\left(\frac{n}{4^{m}}\right) \\
= & n \Theta(1)+O(n)=\Theta(n)
\end{aligned}
$$

So, $T(n)=\Theta(n \mid n$ a power of 4$) \Rightarrow T(n)=\Theta(n)$. (Why?)

## Remark

- We have applied Theorem 7 to conclude $T(n)=\Theta(n)$ from $T(n)=\Theta(n \mid n$ a power of 4$)$.
- In order to apply Theorem 7, $T(n)$ needs to be nondecreasing.
- It will be a homework question for you to prove that $T(n)$ is indeed nondecreasing.


## Recurrence Tree

solving problems
1 of size $n$


3 of size $n / 4$

$$
\downarrow \uparrow
$$

$$
3 \cdot n / 4
$$

$3^{2}$ of size $n / 4^{2}$

$$
\downarrow \uparrow \quad 3^{2} \cdot n / 4^{2}
$$

$3^{3}$ of size $n / 4^{3}$

$$
\begin{gathered}
\downarrow \uparrow \\
\vdots
\end{gathered}
$$

$3^{m-1}$ of size $n / 4^{m-1}$

$$
\begin{array}{cc}
\downarrow \uparrow & 3^{m-1} \cdot n / 4^{m-1} \\
3^{m} \text { of size } n / 4^{m} & 3^{m} \cdot \Theta(1)
\end{array}
$$

## Guess and Prove

- Solve $T(n)= \begin{cases}c & \text { if } n \leq 1 \\ 3 T(\lfloor n / 4\rfloor)+\Theta(n) & \text { if } n>1\end{cases}$
- First, guess $T(n)=\Theta(n)$, and then try to prove it.
- Sufficient to consider $n=4^{m}, m=0,1,2, \ldots$
- Need to prove: $c_{1} 4^{m} \leq T\left(4^{m}\right) \leq c_{2} 4^{m}$ for some $c_{1}, c_{2}$ and all $m \geq m_{0}$ for some $m_{0}$. We choose $m_{0}=0$ and prove by induction on $m$.
- IB: When $m=0, c_{1} 4^{0} \leq T\left(4^{0}\right) \leq c_{2} 4^{0}$ if $c_{1} \leq c \leq c_{2}$.
- IH: Assume $c_{1} 4^{m-1} \leq T\left(4^{m-1}\right) \leq c_{2} 4^{m-1}$ for some $c_{1}, c_{2}$.
- IS: $T\left(4^{m}\right)=3 T\left(4^{m-1}\right)+\Theta\left(4^{m}\right)$

$$
\begin{aligned}
& \leq 3 c_{2} 4^{m-1}+c_{2}^{\prime} 4^{m} \text { for some constant } c_{2}^{\prime} \\
& =\left(3 c_{2} / 4+c_{2}^{\prime}\right) 4^{m} \\
& \leq c_{2} 4^{m} \quad \text { if } c_{2}^{\prime} \leq c_{2} / 4
\end{aligned}
$$

$$
\begin{aligned}
T\left(4^{m}\right) & =3 T\left(4^{m-1}\right)+\Theta\left(4^{m}\right) \\
& \geq 3 c_{1} 4^{m-1}+c_{1}^{\prime} 4^{m} \text { for some constant } c_{1}^{\prime} \\
& =\left(3 c_{1} / 4+c_{1}^{\prime}\right) 4^{m} \\
& \geq c_{1} 4^{m} \quad \text { if } c_{1}^{\prime} \geq c_{1} / 4
\end{aligned}
$$

- Let $c_{1}, c_{2}$ be such that $c_{1} \leq c \leq c_{2}, c_{2}^{\prime} \leq c_{2} / 4, c_{1} / 4 \leq c_{1}^{\prime}$. Then, $c_{1} 4^{m} \leq T\left(4^{m}\right) \leq c_{2} 4^{m}$ for all $m \geq 0$.


## The Master Theorem

- Definition: $f(n)$ is polynomially smaller than $g(n)$, denoted as $f(n) \ll g(n)$, iff $f(n)=O\left(g(n) n^{-\varepsilon}\right)$, or $f(n) n^{\varepsilon}=O(g(n))$, for some $\varepsilon>0$.
- For example, $1 \ll \sqrt{n} \ll n^{0.99} \ll n \ll n^{2}$.
- Is $1 \ll \log n$ ? Or $n \ll n \log n$ ?
- To answer these, ask yourself whether or not $n^{\varepsilon}=O(\log n)$.
- For convenience, write $f(n) \approx g(n)$ iff $f(n)=\Theta(g(n))$.
- Note: the notations $\ll$ and $\approx$ are good only for this class.


## The Master Theorem

If $T(n)$ satisfies the recurrence $T(n)=a T(n / b)+f(n)$, then $T(n)$ is bounded asymptotically as follows.

1. If $f(n) \ll n^{\log _{b} a}$, then $T(n)=\Theta\left(n^{\log _{b} a}\right)$.
2. If $f(n) \gg n^{\log _{b} a}$, then $T(n)=\Theta(f(n))$.
3. If $f(n) \approx n^{\log _{b} a}$, then $T(n)=\Theta(f(n) \log n)$.
4. If $f(n) \approx n^{\log _{b} a} \log ^{k} n$, then $T(n)=\Theta(f(n) \log n)$.

In case 2, it is required that $a f(n / b) \leq c f(n)$ for some $c<1$, which is satisfied by most $f(n)$ that we shall encounter.

In the theorem, $n / b$ should be interpreted as $\lfloor n / b\rfloor$ or $\lceil n / b\rceil$.

## Examples: solve these recurrences

- $T(n)=3 T(n / 4)+n$.
- $T(n)=9 T(n / 3)+n$.
- $T(n)=T(2 n / 3)+1$.
- $T(n)=3 T(n / 4)+n \log n$.
- $T(n)=7 T(n / 2)+\Theta\left(n^{2}\right)$.
- $T(n)=2 T(n / 2)+n \log n$.
- $T(n)=T(n / 3)+T(2 n / 3)+n$.

$$
T(n)=a T(n / b)+f(n)
$$

solving problems
1 of size $n$

$a$ of size $n / b$
 $a^{2}$ of size $n / b^{2}$

$a^{\log _{o} n-1}$ of size $n / b^{\log _{0} n-1}$

$a^{\log _{b} n}$ of size $n / b^{\log _{g} n}$

$$
\begin{gathered}
a^{\log _{b} n-1} \cdot f\left(n / b^{\log _{b} n-1}\right) \\
a^{\log _{b} n} \cdot \Theta(1)
\end{gathered}
$$

$$
\begin{align*}
& T(n)=a T(n / b)+f(n) \\
& 1 \text { of size } n \\
& \downarrow \uparrow  \tag{n}\\
& a \text { of size } n / b \\
& \downarrow \uparrow \\
& a \cdot f(n / b) \\
& a^{2} \text { of size } n / b^{2} \\
& \begin{array}{c}
\downarrow \uparrow \\
\vdots
\end{array} \\
& a^{2} \cdot f\left(n / b^{2}\right) \\
& a^{\log _{o} n-1} \text { of size } n / b^{\log _{b} n-1} \\
& \downarrow \uparrow \\
& a^{\log _{o} n} \text { of size } n / b^{\log _{5} n} \\
& T(n)=\sum_{i=0}^{\log _{g} n-1} a^{i} f\left(n / b^{i}\right)+n^{\log _{b} a} \\
& a^{\log _{b} n-1} \cdot f\left(n / b^{\log _{b} n-1}\right) \\
& a^{\log (2)} \cdot \Theta(1) \\
& \text { (Note: } \log _{b} n=\frac{\log _{a} n}{\log _{a} b}=\log _{a} n \cdot \log _{b} a \text { ) }
\end{align*}
$$

Suppose $f(n)=\Theta\left(n^{\log _{b} a}\right)$.
Then $f\left(n / b^{i}\right)=\Theta\left(\left(n / b^{i}\right)^{\log _{b} a}\right)=\Theta\left(\frac{n^{\log _{b} a}}{b^{i \log _{b} a}}\right)=\Theta\left(\frac{n^{\log _{b} a}}{a^{i}}\right)$,
and thus $a^{i} f\left(n / b^{i}\right)=\Theta\left(n^{\log _{b} a}\right)$. Then, we have

$$
\begin{aligned}
T(n) & =\sum_{i=0}^{\log _{b} n-1} a^{i} f\left(n / b^{i}\right)+n^{\log _{b} a} \text { (from the previous slide) } \\
& =\Theta\left(\sum_{i=0}^{\log _{b} n-1} n^{\log _{b} a}+n^{\log _{b} a}\right)=\Theta\left(n^{\log _{b} a} \log n\right)=\Theta(f(n) \log n)
\end{aligned}
$$

## When recurrences involve roots

- Solve $T(n)= \begin{cases}2 T(\sqrt{n})+\log n & \text { if } n>2 \\ c & \text { otherwise }\end{cases}$
- Suffices to consider only powers of 2 . Let $n=2^{m}$.
- Define a new function $S(m)=T\left(2^{m}\right)=T(n)$.
- The above recurrence translates to

$$
S(m)= \begin{cases}2 S(m / 2)+m & \text { if } m>1 \\ c & \text { otherwise }\end{cases}
$$

- By Master Theorem, $S(m)=\Theta(m \log m)$.
- So, $T(n)=\Theta(\log n \log \log n)$


## Strassen's Algorithm for Matrix Multiplication

- Problem: Compute $C=A B$, given $n \times n$ matrices $A$ and $B$.
- The straightforward method requires $\Theta\left(n^{3}\right)$ time, using the formula $c_{i j}=\sum_{k=1}^{n} a_{i k} b_{k j}$.
- Toward the end of 1960s, Strassen showed how to multiply matrices in $O\left(n^{\log 7}\right)=O\left(n^{2.81}\right)$ time.
- For $n=100, n^{2.81} \approx 416,869$, and $n^{3}=1,000,000$.
- The time complexity was reduced to $O\left(n^{2.521813}\right)$ in 1979, to $O\left(n^{2.521801}\right)$ in 1980, and to $O\left(n^{2.376}\right)$ in 1986.
- In the following discussion, $n$ is assumed to be a power of 2 .
- Write

$$
A=\left(\begin{array}{ll}
A_{11} & A_{12} \\
A_{21} & A_{22}
\end{array}\right), \quad B=\left(\begin{array}{ll}
B_{11} & B_{12} \\
B_{21} & B_{22}
\end{array}\right), \quad C=\left(\begin{array}{ll}
C_{11} & C_{12} \\
C_{21} & C_{22}
\end{array}\right)
$$

where each $A_{i j}, B_{i j}, C_{i j}$ is a $n / 2 \times n / 2$ matrix.

- Then $\left(\begin{array}{ll}C_{11} & C_{12} \\ C_{21} & C_{22}\end{array}\right)=\left(\begin{array}{ll}A_{11} & A_{12} \\ A_{21} & A_{22}\end{array}\right)\left(\begin{array}{ll}B_{11} & B_{12} \\ B_{21} & B_{22}\end{array}\right)$.
- If we compute $C_{i j}=A_{i 1} B_{1 j}+A_{i 2} B_{2 j}$, the running time $T(n)$ will satisfy the recurrence $T(n)=8 T(n / 2)+\Theta\left(n^{2}\right)$
- $T(n)$ will be $\Theta\left(n^{3}\right)$, not better than the straightforward one.
- Good for parallel processing. What's the running time using $\Theta\left(n^{3}\right)$ processors?

Strassen showed

$$
\left(\begin{array}{ll}
C_{11} & C_{12} \\
C_{21} & C_{22}
\end{array}\right)=\left(\begin{array}{cc}
M_{2}+M_{3} & M_{1}+M_{2}+M_{5}+M_{6} \\
M_{1}+M_{2}+M_{4}-M_{7} & M_{1}+M_{2}+M_{4}+M_{5}
\end{array}\right)
$$

where $M_{1}=\left(A_{21}+A_{22}-A_{11}\right) \times\left(B_{22}-B_{12}+B_{11}\right)$

$$
\begin{aligned}
& M_{2}=A_{11} \times B_{11} \\
& M_{3}=A_{12} \times B_{21} \\
& M_{4}=\left(A_{11}-A_{21}\right) \times\left(B_{22}-B_{12}\right) \\
& M_{5}=\left(A_{21}+A_{22}\right) \times\left(B_{12}-B_{11}\right) \\
& M_{6}=\left(A_{12}-A_{21}+A_{11}-A_{22}\right) \times B_{22} \\
& M_{7}=A_{22} \times\left(B_{11}+B_{22}-B_{12}-B_{21}\right)
\end{aligned}
$$

$T(n)=7 T(n / 2)+\Theta\left(n^{2}\right) \Rightarrow T(n)=\Theta\left(n^{\log 7}\right)$

## The Closest Pair Problem

- Problem Statement: Given a set of $n$ points in the plane, $A=\left\{\left(x_{i}, y_{i}\right): 1 \leq i \leq n\right\}$, find two points in $A$ whose distance is smallest among all pairs.
- Straightforward method: $\Theta\left(n^{2}\right)$.
- Divide and conquer: $O(n \log n)$.


## The Divide-and-Conquer Approach

1. Partition $A$ into two sets: $A=B \cup C$.
2. Find a closest pair $\left(p_{1}, q_{1}\right)$ in $B$.
3. Find a closest pair $\left(p_{2}, q_{2}\right)$ in $C$.
4. Let $\delta=\min \left\{\operatorname{dist}\left(p_{1}, q_{1}\right), \operatorname{dist}\left(p_{2}, q_{2}\right)\right\}$.
5. Find a closest pair $\left(p_{3}, q_{3}\right)$ between $B$ and $C$ with distance less than $\delta$, if such a pair exists.
6. Return the pair of the three which is closest.

- Question: What would be the running time?
- Desired: $T(n)=2 T(n / 2)+O(n) \Rightarrow T(n)=O(n \log n)$.
- Now let's see how to implement each step.
- Trivial: steps 2, 3, 4, 6.

Step 1: Partition $A$ into two sets: $A=B \cup C$.

- A natural choice is to draw a vertical line to divide the points into two groups.
- So, sort $A[1 . . n]$ by $x$-coordinate. (Do this only once.)
- Then, we can easily partition any set $A[i . . j]$ by

$$
A[i . . j]=A[i . . m] \cup A[m+1 . . j]
$$

where $m=\lfloor(i+j) / 2\rfloor$.

Step 5: Find a closest pair between $B$ and $C$ with distance less than $\delta$, if exists.

- We will write a procedure

Closest-Pair-Between-Two-Sets( $A[i . . j], p t r, \delta,(p 3, q 3))$
which finds a closest pair between $A[i . . m]$ and $A[m+1 . . j]$ with distance less than $\delta$, if exists.

- The running time of this procedure must be no more than $O(|A[i . . j]|)$ in order for the final algorithm to be $O(n \log n)$.


## Data Structures

- Let the coordinates of the $n$ points be stored in $X[1 . . n]$ and $Y[1 . . n]$.
- For simplicity, let $A[i]=(X[i], Y[i])$.
- For convenience, introduce two dummy points:
$A[0]=(-\infty,-\infty)$ and $A[n+1]=(\infty, \infty)$
- We will use these two points to indicate "no pair" or "no pair closer than $\delta$."
- Introduce an array Link[1..n], initialized to all 0's.


## Main Program

- Global variable: $A[0 . . n+1]$
- Sort $A[1 . . n]$ such that $X[1] \leq X[2] \leq \cdots \leq X[n]$. That is, sort the given $n$ points by $x$-coordinate.
- Call Procedure Closest-Pair with appropriate parameters.


## Procedure Closest-Pair (A[i..j], $(p, q)) / /$ Version 1//

 $\left\{*\right.$ returns a closest pair $(p, q)$ in $\left.A[i . . j]^{*}\right\}$- If $j-i=0:(p, q) \leftarrow(0, n+1)$;
- If $j-i=1:(p, q) \leftarrow(i, j)$;
- If $j-i>1: m \leftarrow\lfloor(i+j) / 2\rfloor$

Closest-Pair (A[i..m], $\left.\left(p_{1}, q_{1}\right)\right)$
Closest-Pair $\left(A[m+1 . . j],\left(p_{2}, q_{2}\right)\right)$
$p t r \leftarrow$ mergesort $A[i . . j]$ by $y$-coordinate into a linked list $\delta \leftarrow \min \left\{\operatorname{dist}\left(p_{1}, q_{1}\right), \operatorname{dist}\left(p_{2}, q_{2}\right)\right\}$
Closest-Pair-Between-Two-Sets ( $\left.A[i . . j], p t r, \delta,\left(p_{3}, q_{3}\right)\right)$ $(p, q) \leftarrow$ closest of the three $\left(p_{1}, q_{1}\right),\left(p_{2}, q_{2}\right),\left(p_{3}, q_{3}\right)$

## Time Complexity of version 1

- Initial call: Closest-Pair ( $A[1 . . n],(p, q))$.
- Assume Closest-Pair-Between-Two-Sets needs $\Theta(n)$ time.
- Let $T(n)$ denote the worst-case running time of Closest-Pair ( $A[1 . . n],(p, q))$.
- Then, $T(n)=2 T(n / 2)+\Theta(n \log n)$.
- So, $T(n)=\Theta\left(n \log ^{2} n\right)$.
- Not as good as desired.


## How to reduce the time complexity to $O(n \log n)$ ?

- Suppose we use Mergesort to sort $A[i . . j]$ :
$p t r \leftarrow$ Sort $A[i . . j]$ by $y$-coordinate into a linked list
- Rewrite the procedure as version 2.
- We only have to sort the base cases and perform "merge."
- Here we take a free ride on Closest-Pair for dividing.
- That is, we combine Mergesort with Closest-Pair.


## Procedure Closest-Pair (A[i..j], ( $p, q$ )) //Version 2//

- If $j-i=0:(p, q) \leftarrow(0, n+1)$;
- If $j-i=1:(p, q) \leftarrow(i, j)$;
- If $j-i>1: m \leftarrow\lfloor(i+j) / 2\rfloor$

Closest-Pair $\left(A[i . . m],\left(p_{1}, q_{1}\right)\right)$
Closest-Pair ( $\left.A[m+1 . . j],\left(p_{2}, q_{2}\right)\right)$
$p \operatorname{tr} 1 \leftarrow \operatorname{Mergesort}(A[i . . m])$
$\operatorname{ptr} 2 \leftarrow \operatorname{Mergesort}(A[m+1 . . j])\}$ mergesort $(A[i . . j])$
$p t r \leftarrow \operatorname{Merge}(p t r 1, p t r 2)$
(the rest is the same as in version 1 )

Procedure Closest-Pair ( $A[i . . j],(p, q), p t r) / / f i n a l$ version// $\left\{*\right.$ mergesort $A[i . . j]$ by $y$ and find a closest pair $(p, q)$ in $\left.A[i . . j]^{*}\right\}$

- if $j-i=0:(p, q) \leftarrow(0, n+1)$; $p t r \leftarrow i$
- if $j-i=1:(p, q) \leftarrow(i, j)$;
if $Y[i] \leq Y[j]$ then $\{p t r \leftarrow i ; \operatorname{Link}[i] \leftarrow j\}$

$$
\text { else }\{p \operatorname{tr} \leftarrow j ; \operatorname{Link}[j] \leftarrow i\}
$$

- if $j-i>1: m \leftarrow\lfloor(i+j) / 2\rfloor$

Closest-Pair (A[i..m], $\left.\left(p_{1}, q_{1}\right), p t r 1\right)$
Closest-Pair $\left(A[m+1 . . j],\left(p_{2}, q_{2}\right), p t r 2\right)$ $p t r \leftarrow \operatorname{Merge}(p t r 1, p t r 2)$
(the rest is the same as in version 1)

## Time Complexity of the final version

- Initial call: Closest-Pair (A[1..n], (p,q), pqr).
- Assume Closest-Pair-Between-Two-Sets needs $\Theta(n)$ time.
- Let $T(n)$ denote the worst-case running time of Closest-Pair ( $A[1 . . n],(p, q), p q r)$.
- Then, $T(n)=2 T(n / 2)+\Theta(n)$.
- So, $T(n)=\Theta(n \log n)$.
- Now, it remains to write the procedure

Closest-Pair-Between-Two-Sets( $\left.A[i . . j], p t r, \delta,\left(p_{3}, q_{3}\right)\right)$

## Closest-Pair-Between-Two-Sets

- Input: (A[i..j], ptr, $\delta$ )
- Output: a closest pair $(p, q)$ between $B=A[i . . m]$ and $C=A[m+1 . . j]$ with distance $<\delta$, where $m=\lfloor(i+j) / 2\rfloor$. If there is no such a pair, return the dummy pair ( $0, n+1$ ).
- Time complexity desired: $O(|A[i . . j]|)$.
- For each point $b \in B$, we will compute $\operatorname{dist}(b, c)$ for $O(1)$ points $c \in C$. Similarly for each point $c \in C$.
- Recall that $A[i . . j]$ has been sorted by $y$. We will follow the sorted linked list and look at each point.


## Closest-Pair-Between-Two-Sets

- $L_{0}$ : vertical line passing through the point $A[m]$.
- $L_{1}$ and $L_{2}$ : vertical lines to the left and right of $L_{0}$ by $\delta$.
- We observe that:
- We only need to consider those points between $L_{1}$ and $L_{2}$.
- For each point $k$ in $A[i . . m]$, we only need to consider the points in $A[m+1 . . j]$ that are inside the square of $\delta \times \delta$.
- There are at most three such points.
- And they are among the most recently visited three points of $A[m+1 . . j]$ lying between $L_{0}$ and $L_{2}$.
- Similar argument for each point $k$ in $A[m+1 . . j]$.


Closest-Pair-Between-Two-Sets(A[i..j], per, $\left.\delta,\left(p_{3}, q_{3}\right)\right)$
$/ /$ Find the closest pair between $A[i . . m]$ and $A[m+1 . . j]$ with dist $<\delta$. If there exists no such a pair, then return the dummy pair ( $0, n+1$ ). // global $X[0 . . n+1], Y[0 . . n+1]$, $\operatorname{Link}[1 . . n]$
$\left(p_{3}, q_{3}\right) \leftarrow(0, n+1)$
$b_{1}, b_{2}, b_{3} \leftarrow 0$ //most recently visited 3 points btwn $L_{0}, L_{1} / /$
$c_{1}, c_{2}, c_{3} \leftarrow n+1$ //such points between $L_{0}, L_{2} / /$
$m \leftarrow\lfloor(i+j) / 2\rfloor$
$k \leftarrow p t r$
while $k \neq 0$ do //follow the linked list until end//

1. if $|X[k]-X[m]|<\delta$ then // consider only btwn $L_{1}, L_{2} / /$
if $k \leq m$ then //point $k$ is to the left of $L_{0} / /$
compute $d \leftarrow \min \left\{\operatorname{dist}\left(k, c_{i}\right): 1 \leq i \leq 3\right\}$;
if $d<\delta$ then update $\delta$ and ( $p_{3}, q_{3}$ );
$b_{3} \leftarrow b_{2} ; \quad b_{2} \leftarrow b_{1} ; \quad b_{1} \leftarrow k ;$
else //point $k$ is to the right of $L_{0} / /$
compute $d \leftarrow \min \left\{\operatorname{dist}\left(k, \mathrm{~b}_{i}\right): 1 \leq i \leq 3\right\}$;
if $d<\delta$ then update $\delta$ and ( $p_{3}, q_{3}$ );

$$
c_{3} \leftarrow c_{2} ; \quad c_{2} \leftarrow c_{1} ; \quad c_{1} \leftarrow k ;
$$

2. $k \leftarrow \operatorname{Link}[k]$

## Convex Hull

- Problem Statement: Given a set of $n$ points in the plane,

$$
\text { say, } A=\left\{p_{1}, p_{2}, p_{3}, \ldots, p_{n}\right\}
$$

we want to find the convex hull of $A$.

- The convex hull of $A$, denoted by $C H(A)$, is the smallest convex polygon that encloses all points of $A$.
- Observation: segment $\overline{p_{i} p_{j}}$ is an edge of $C H(A)$ if all other points of $A$ are on the same side of $\overline{p_{i} p_{j}}$ (or on $\overrightarrow{p_{i} p_{j}}$ ).
- Straightforward method: $\Omega\left(n^{2}\right)$.
- Divide and conquer: $O(n \log n)$.


## Divide-and-Conquer for Convex Hull

0 . Assume all $x$-coordinates are different, and no three points are colinear. (Will be removed later.)

1. Let $A$ be sorted by $x$-coordinate.
2. If $|A| \leq 3$, solve the problem directly. Otherwise, apply divide-and-conquer as follows.
3. Break up $A$ into $A=B \cup C$.
4. Find the convex hull of $B$.
5. Find the convex hull of $C$.
6. Combine the two convex hulls by finding the upper and lower bridges to connect the two convex hulls.

## Upper and Lower Bridges

- The upper bridge between $C H(B)$ and $C H(C)$ is the the edge $\overline{v w}$, where $v \in C H(B)$ and $w \in C H(C)$, such that - all other vertices in $C H(B)$ and $C H(C)$ are below $\overrightarrow{v w}$, or
- the two neighbors of $v$ in $C H(B)$ and the two neighbors of $w$ in $\mathrm{CH}(C)$ are below $\overrightarrow{v w}$, or
- the counterclockwise-neighbor of $v$ in $C H(B)$ and the clockwise-neighbor of $w$ in $C H(C)$ are below $\overparen{v w}$, if $v$ and $w$ are chosen as in the next slide.
- Lower bridge: similar.


## Finding the upper bridge

- $v \leftarrow$ the rightmost point in $\mathrm{CH}(\mathrm{B})$;
$w \leftarrow$ the leftmost point in $\mathrm{CH}(\mathrm{C})$.
- Loop
if counterclockwise-neighbor $(v)$ lies above line $\overparen{v w}$ then $v \leftarrow$ counterclockwise-neighbor $(v)$
else if clockwise-neighbor ( $w$ ) lies above $\stackrel{\rightharpoonup}{v}$ then $w \leftarrow$ clockwise neighbor $(w)$
else exit from the loop
- $\overline{v w}$ is the upper bridge.


## Data Structure and Time Complexity

- What data structure will you use to represent a convex hull?
- Using your data structure, how much time will it take to find the upper and lower bridges?
- What is the over all running time of the algorithm?
- We assumed:
(1) no two points in $A$ share the same $x$-coordinate
(2) no three points in $A$ are colinear
- Now let's remove these assumptions.


## Orientation of three points

- Three points: $p_{1}\left(x_{1}, y_{1}\right), p_{2}\left(x_{2}, y_{2}\right), p_{3}\left(x_{3}, y_{3}\right)$.
- $\left(p_{1}, p_{2}, p_{3}\right)$ in that order is counterclockwise if

$$
\left|\begin{array}{lll}
x_{1} & y_{1} & 1 \\
x_{2} & y_{2} & 1 \\
x_{3} & y_{3} & 1
\end{array}\right|>0
$$

- Clockwise if the determinant is negative.
- Colinear if the determinant is zero.

