## Symmetric-Key Encryption

CSE 5351: Introduction to Cryptography
Reading assignment:

- Chapter 3
- Read sections 3.1-3.2 first (skipping 3.2.2)


## Negligible functions

- A nonegative function $f: \mathbb{N} \rightarrow \mathbb{R}$ is said to be negligible if for every positive polynomial $P(n)$, there is an integer $n_{0}$ such that

$$
f(n)<\frac{1}{P(n)} \quad \text { for all } n>n_{0} \text { (i.e., for sufficiently large } n \text { ). }
$$

- Examples: $2^{-n}, 2^{-\sqrt{n}}, n^{-\log n}$ are negligible functions.
- Negligible functions approach zero faster than the reciprocal of every polynomial.
- We write negl( $n$ ) to denote an unspecified negligible function.


## Properties of negligible functions

- If negl $1_{1}(n)$ and negl $_{2}(n)$ are negligible functions, then $\operatorname{negl}_{1}(n)+\operatorname{negl}_{2}(n)$ is negligible.
- If negl $(n)$ is a negligible function and $p(n)$ a polynomial, then $p(n) \cdot \operatorname{negl}(n)$ is negligible.
- Examples: $2^{-n}+2^{-\sqrt{n}}$ and $n^{100} n^{-\log n}$ are negligible.


## Relaxing the security requirement

- In perfect indistinguishability (perfect secrecy), the adversary has
- unlimited computing power,
- success rate $\leq 1 / 2$;
- also, message length is hidden.
- Now we relax the notion of perfect indistinguishability by
- limiting adversaries to having poly $(n)$ computing power,
- allowing the success rate to be $\leq 1 / 2+\operatorname{negl}(n)$,
- not hiding message length.


## Security Parameter

- The $n$ in the previous slide is called a security parameter, which indicates the key length.
- We will associate an encryption scheme $\Pi$ with a secureity parameter $n$, and would like $\Pi$ to be secure in the sense that any adversary with poly( $n$ ) computing power can break $\Pi$ with at most negl(n) probability.


## PPT Algorithms

- Probabilistic polynomial-time algorithms
- Polynomial-time: the running time is polynomial in input length.
- Input length is the number of bits of the input.
- What is the length of $n$ in binary, and what is the length of $1^{n}$ ?
- What is the difference between these two statements:
- $A(n)$ is a PPT algorithm.
- $A\left(1^{n}\right)$ is a PPT algorithm.


## Private-key encryption scheme w. security parameter $n$

- A tuple of polynomial-time algorithms: $\Pi=(G e n, E n c, D e c)$
- Key generation algorithm Gen: On input $1^{n}$, outputs a key $k \in\{0,1\}^{n}$. We write $k \leftarrow \operatorname{Gen}\left(1^{n}\right)$.( $n:$ security parameter.)
- Encryption algorithm Enc: On input a key $k$ and a message $m \in\{0,1\}^{*}$, outputs a ciphertext $c$. We write $c \leftarrow E n c_{k}(m)$.
- Decryption algorithm Dec: On input a key $k$ and a ciphertext $c$, Dec outputs a message $m$ or an error symbol $\perp$. We write $m:=\operatorname{Dec}_{k}(c)$.
- Correctness requirement: for every $k \leftarrow \operatorname{Gen}\left(1^{n}\right)$ and $m \in\{0,1\}^{*}$,

$$
\operatorname{Dec}_{k}\left(E n c_{k}(m)\right)=m .
$$

- Gen, Enc are probabilistic. Dec, deterministic.
- If message space $M=\{0,1\}^{\ell(n)}$, then $\Pi=(G e n, E n c, D e c)$ is said to be a fixed-length private-key encryption scheme for messages of length $\ell(n)$.
- If $\operatorname{Gen}\left(1^{n}\right)$ simply outputs $k \leftarrow_{u}\{0,1\}^{n}$, we omit Gen and simply denote the scheme by (Enc, Dec). This is almost always the case.


## Ciphertext Indistinguishability Experiment $\operatorname{PrivK}_{A, \Pi}^{\text {eav }}(n)$

- Adversary: PPT eavesdropper with a single ciphertext.
- (Gen, Enc,Dec): an encryption scheme with security parameter $n$.
- Imagine a game played by Bob and an adversary $A$ (Eve):
- Eve, given input $1^{n}$, outputs a pair of messages $m_{0}, m_{1}$ with $\left|m_{0}\right|=\left|m_{1}\right|$ (i.e., having the same length).
- Bob chooses a key $k \leftarrow \operatorname{Gen}\left(1^{n}\right)$ and a bit $b \leftarrow{ }_{u}\{0,1\}$; computes $c \leftarrow E_{k}\left(m_{b}\right)$; and gives $c$ to Eve.
- Eve outputs a bit $b^{\prime}$, trying to tell whether $c$ is an encryption of $m_{0}$ or $m_{1}$.
- The output, $\operatorname{PrivK}_{A, \Pi}^{\text {eav }}(n)$, of the experiment is 1 iff $b=b^{\prime}$ (i.e., Eve succeeds.)


## Ciphertext Indistinguishability against an eavesdropper

- Definition: A private-key encryption scheme has indistinguishable encryptions against an eavesdropper (or is EAV-secure) if for all probabilistic polynomial-time adversaries $A$, there is a negligible function $\operatorname{negl}(n)$ such that (for all $n$ )

$$
\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\mathrm{eav}}(n)=1\right] \leq \frac{1}{2}+\operatorname{negl}(n)
$$

where the probability is taken over the randomness used by $A$, the randomness used by Bob to choose the key and the bit $b$, as well as the randomness used by Enc.
$\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\text {eav }}(n)=1\right]=\operatorname{Pr}\left[\begin{array}{l}A\left(1^{n}, m_{0}, m_{1}, E n c_{k}\left(m_{b}\right)\right)=b: \\ b \leftarrow{ }_{u}\{0,1\}, k \leftarrow \operatorname{Gen}\left(1^{n}\right), m_{0}, m_{1} \leftarrow A\left(1^{n}\right)\end{array}\right]$

## An equivalent formulation

- For $b=0$ or 1 (fixed), let $\operatorname{PrivK}_{A, \Pi}^{\text {eav }}(n, b)$ denote the previous experiment with the fixed $b$ used.
- Let output $\left(\operatorname{PrivK}_{A, \Pi}^{\text {eav }}(n, b)\right)$ denote the adversary's output.

$$
\operatorname{Pr}\left[\operatorname{output}\left(\operatorname{PrivK}_{A, \Pi}^{\mathrm{eav}}(n, b)\right)=1\right]=\operatorname{Pr}\left[\begin{array}{l}
A\left(1^{n}, m_{0}, m_{1}, \operatorname{Enc}_{k}\left(m_{b}\right)\right)=1: \\
k \leftarrow \operatorname{Gen}\left(1^{n}\right), m_{0}, m_{1} \leftarrow A\left(1^{n}\right)
\end{array}\right]
$$

- Theorem: A private-key encryption scheme is EAV-secure if and only if for all PPT adversaries $A$, there is a negligible function $\operatorname{negl}(n)$ such that

$$
\begin{aligned}
& \left|\operatorname{Pr}\left[\operatorname{output}\left(\operatorname{PrivK}_{A, \Pi}^{\mathrm{eav}}(n, 0)\right)=1\right]-\operatorname{Pr}\left[\operatorname{output}\left(\operatorname{PrivK}_{A, \Pi}^{\text {eav }}(n, 1)\right)=1\right]\right| \\
& \leq \operatorname{negl}(n)
\end{aligned}
$$

- That is,

$$
\begin{aligned}
& \left|\operatorname{Pr}\left[\begin{array}{l}
A\left(1^{n}, m_{0}, m_{1}, \operatorname{Enc}_{k}\left(m_{0}\right)\right)=1: \\
k \leftarrow \operatorname{Gen}\left(1^{n}\right), m_{0}, m_{1} \leftarrow A\left(1^{n}\right)
\end{array}\right]-\operatorname{Pr}\left[\begin{array}{l}
A\left(1^{n}, m_{0}, m_{1}, \operatorname{Enc}_{k}\left(m_{1}\right)\right)=1: \\
k \leftarrow \operatorname{Gen}\left(1^{n}\right), m_{0}, m_{1} \leftarrow A\left(1^{n}\right)
\end{array}\right]\right| \\
& \leq \operatorname{negl}(n)
\end{aligned}
$$

## Adversaries cannot learn any bit of the plaintext

- Let $m^{i}$ denote the $i$ th bit of $m$.
- If an encryption scheme is EAV-secure, then from a ciphertext $c \leftarrow E n c_{k}(m)$, it is infeasible for the adversary to recover $m^{i}$.
- Theorem: If a fixed-length private-key encryption scheme with $M=\{0,1\}^{\ell(n)}$ is EAV-secure, then for all PPT adversaries $A$ and any $i \in\{1, \ldots, \ell(n)\}$, it holds:

$$
\operatorname{Pr}\left[A\left(1^{n}, E n c_{k}(m)\right)=m^{i}: k \leftarrow_{u}\{0,1\}^{n}, m \leftarrow_{u}\{0,1\}^{\ell(n)}\right] \leq \frac{1}{2}+\operatorname{negl}(n)
$$

## Secure Encryption Schemes

- Secure: EAV-secure, CPA-secure, or CCA-secure.
- Secure private-key encryption schemes may be constructed from:
- Pseudorandom generators
- Pseudorandom functions
- Pseudorandom permutations.


## Pseudorandom Generators and Stream Ciphers

Encryption schemes using pseudorandom generators

K\&L: Section 3.3

## Motivation

- Vernam's one-time pad scheme is perfectly secure against single-ciphertext eavesdropper.
- Drawback: it requires a random key as long as the message.
- Solution: use a short key as seed to generate a "pseudorandom" key that is as long as needed.
- This is the basic idea of stream ciphers.


## Stream ciphers

- The term "stream cipher" may refer to the entire encryption scheme or just the pseudorandom generator.



## What is a pseudorandom generator?

- Informally, a pseudorandom generator is an algorithm $G$ that given a (short) truly random string $s$, outputs a "random-like" (i.e.,pseudorandom) string longer than $s$.
- Informally, a string $r$ is "random-like" if it is hard to tell whether or not $r$ is generated by a truly-random generator.
- Loosely speaking, two sets $A_{n}, B_{n} \subseteq\{0,1\}^{n}$ are said to be polynomially indistinguishable if for every polynomial distinguisher $D$,

$$
\begin{aligned}
\mid \operatorname{Pr}[D(r)= & \left.1: r \leftarrow_{u} A_{n}\right] \\
& -\operatorname{Pr}\left[D(r)=1: r \leftarrow_{u} B_{n}\right] \mid \leq \operatorname{negl}(n)
\end{aligned}
$$

- In the above, we were actually talking about the indistinguishability between two ensembles (sequences) of sets: $\left(A_{n}\right)_{n \in \mathbb{N}}$ and $\left(B_{n}\right)_{n \in \mathbb{N}}$.
- Definition: Two ensembles of sets $\left(A_{n}\right)_{n \in \mathbb{N}}$ and $\left(B_{n}\right)_{n \in \mathbb{N}}$ are polynomially indistinguishable if for every polynomial-time distinguisher $D$, it holds that

$$
\begin{aligned}
\mid \operatorname{Pr}[D(r)=1 & \left.: r \leftarrow_{u} A_{n}\right] \\
& -\operatorname{Pr}\left[D(r)=1: r \leftarrow_{u} B_{n}\right] \mid \leq \operatorname{negl}(n)
\end{aligned}
$$

- Which of the following are polynomially indistinguishable?
- $A_{n}=\{0,1\}^{n}, B_{n}=\{0,1\}^{n}-\left\{0^{n}\right\}$
- $A_{n}=\{0,1\}^{n}, B_{n}=\left\{s \in\{0,1\}^{n}: s>2^{100}\right.$ as a binary integer $\}$
- $A_{n}=\{0,1\}^{n}, B_{n}=0 \|\{0,1\}^{n-1}$
$A_{n}=\{0,1\}^{n}$ and $B_{n}=\{0,1\}^{n}-\left\{0^{n}\right\}$ are polynomially indistinguishable.

$$
\begin{aligned}
\operatorname{Pr}\left[D(r)=1: r \leftarrow_{u} A_{n}\right] & \triangleq \sum_{r \in A_{n}} \operatorname{Pr}[r] \cdot \operatorname{Pr}[D(r)=1] \\
& =\frac{1}{2^{n}} \sum_{r \in A_{n}} \operatorname{Pr}[D(r)=1] \\
& =\frac{1}{2^{n}} \operatorname{Pr}\left[D\left(0^{n}\right)=1\right]+\frac{1}{2^{n}} \sum_{r \in B_{n}} \operatorname{Pr}[D(r)=1]
\end{aligned}
$$

$$
\operatorname{Pr}\left[D(r)=1: r \leftarrow_{u} B_{n}\right] \triangleq \sum_{r \in B_{n}} \operatorname{Pr}[r] \cdot \operatorname{Pr}[D(r)=1]
$$

$$
=\frac{1}{2^{n}-1} \sum_{r \in B_{n}} \operatorname{Pr}[D(r)=1]
$$

$$
\left|\operatorname{Pr}\left[D(r)=1: r \leftarrow_{u} A_{n}\right]-\operatorname{Pr}\left[D(r)=1: r \leftarrow_{u} B_{n}\right]\right| \leq \operatorname{negl}(n)
$$

## Definition of pseudorandom generator

- Let $\ell(\cdot)$ be a polynomial such that $\ell(n)>n$ for all $n>0$.
- Let $G$ be a deterministic polynomial-time algorithm that, for any input string $s \in\{0,1\}^{n}$, outputs a string $G(s) \in\{0,1\}^{\ell(n)}$.
- $G$ is said to be a pseudorandom generator with expansion factor $\ell(\cdot)$ if for every polynomial-time distinguisher $D$,

$$
\begin{aligned}
\operatorname{Pr}[D(G(s)) & \left.=1: s \leftarrow_{u}\{0,1\}^{n}\right] \\
& -\operatorname{Pr}\left[D(r)=1: r \leftarrow_{u}\{0,1\}^{\ell(n)}\right] \mid \leq \operatorname{negl}(n)
\end{aligned}
$$

- That is, the two ensembles $\left(A_{n}\right)_{n \in N}$ and $\left(B_{n}\right)_{n \in N}$, are polynomially indistinguishable, where $A_{n}=\left\{G(s): s \in\{0,1\}^{n}\right\}$ and $B_{n}=\{0,1\}^{\ell(n)}$.


## Example: insecure pseudorandom generator

- Let $G(s)=s \|\left(s_{1} \oplus \cdots \oplus s_{n}\right)$ for $s=s_{1} \ldots s_{n} \in\{0,1\}^{n}$.
- Expansion factor $l(n)=n+1$.
- $G$ is not a pseudorandom generator:
- For $r \in\{0,1\}^{n+1}$, let $D(r)= \begin{cases}1 & \text { if } r_{1} \oplus \cdots \oplus r_{n}=r_{n+1} \\ 0 & \text { otherwise }\end{cases}$
- $\operatorname{Pr}\left[D(G(s))=1: s \leftarrow_{u}\{0,1\}^{n}\right]=1$
- $\operatorname{Pr}\left[D(r)=1: r \leftarrow_{u}\{0,1\}^{n+1}\right]=1 / 2$
- Difference between the two probabilities is not negligible.


## Remarks

- A string $r$ is said to be a random string if it is generated by a true random generator (i.e., $r \leftarrow_{u}\{0,1\}^{\ell}$, where $\ell=|r|$ ).
- A string $r$ is said to be a pseudorandom string if it is generated by a pseudorandom generator.
- What if the distinguisher $D$ has unlimited (or exponential) time?
- Given $r \in\{0,1\}^{\ell(n)}$, let $D(r)= \begin{cases}1 & \text { if } r=G(s) \text { for some } s \in\{0,1\}^{n} \\ 0 & \text { otherwise }\end{cases}$
- $\operatorname{Pr}\left[D(G(s))=1: s \leftarrow_{u}\{0,1\}^{n}\right]=1$
- $\operatorname{Pr}\left[D(r)=1: r \leftarrow_{u}\{0,1\}^{\ell(n)}\right]=2^{n} / 2^{\ell(n)}=1 / 2^{\ell(n)-n}$
- Difference between the two probabilities is not negligible.


## Existence of pseudorandom generators

- If one-way functions exist, then pseudorandom generators exist.
- That is, pseudorandom generators can be constructed from one-way functions.
- Chapter 7 of the K\&L book shows how to construct pseudorandom generators from one-way permutations.
- True pseudorandom generators are slow for applications.
- In practice, algorithms such as RC4 are used.


## Existence of pseudorandom generators (basic idea)

- Let $f:\{0,1\}^{n} \rightarrow\{0,1\}^{n}$ be a one-way function.
- Let $b:\{0,1\}^{n} \rightarrow\{0,1\}$ be a hard-core predicate of $f$.
- A boolean function defined on the domain of $f$.
- Easy to compute $b(x)$ from $x$.
- But hard to compute $b(x)$ from $f(x)$.
- Given seed $x$, let $x_{0}=x$.
- Starting from $x_{0}$, apply $f$ repeatedly:

$$
x_{0} \xrightarrow{f} x_{1} \xrightarrow{f} x_{2} \xrightarrow{f} \cdots \xrightarrow{f} x_{l(n)-1}
$$

- Let $G(x)=\left(b\left(x_{0}\right), b\left(x_{1}\right), b\left(x_{2}\right), \ldots, b\left(x_{l(n)-1}\right)\right)$.
- $G$ is a pseudorandom generator with expansion factor $l(n)$.


## Example: Blum-Blum-Shub pseudorandom generator

- Let $n=p q$ for two large primes $p, q$.
- Let $f(x)=x^{2} \bmod n$.
//one-way function//
- Let $b(x)=$ the least significant bit of $x$
//hard-core predicate//

$$
x_{0} \xrightarrow{f} x_{1} \xrightarrow{f} x_{2} \xrightarrow{f} \cdots \xrightarrow{f} x_{l(n)-1}
$$

- Let $G(x)=\left(b\left(x_{0}\right), b\left(x_{1}\right), b\left(x_{2}\right), \ldots, b\left(x_{l(n)-1}\right)\right)$.
- $G$ is a pseudorandom generator with expansion factor $l(n)$.

Example: Blum-Blum-Shub pseudorandom generator

- Suppose $n=p q=29 \times 31=899$.
- Suppose $x_{0}=100$.
- Then we have the sequence

$$
\begin{aligned}
& 100,111,634,103,720,576,45,227,286,886,169, \\
& 692,596,111,634,103,720, \ldots
\end{aligned}
$$

- The generated bits are $01010011001001010 \ldots$


## Encryption schemes based on pseudorandom generators

- From a pseudorandom generator with expansion factor $\ell(n)$, we can easily construct an EAV-secure $\ell(n)$-bit encryption scheme.
- G: a pseudorandom generator with expansion factor $\ell(n)$.
- Key generation: on input $1^{n}$, outputs a key $k \leftarrow_{u}\{0,1\}^{n}$.
- Encryption: on input a key $k \in\{0,1\}^{n}$ and a message $m \in\{0,1\}^{\ell(n)}$, outputs the ciphertext $c:=m \oplus G(k)$.
- Decryption: on input a key $k \in\{0,1\}^{n}$ and a ciphertext $c \in\{0,1\}^{\ell(n)}$, outputs the $m:=c \oplus G(k)$.
- Denote this scheme by $\Pi$.


## Security

Theorem. The scheme $\Pi$ constructed above is EAV-secure (i.e. has indistinguishable encryptions against eavesdroppers).

## Intuition:

- If encrypting with a truely random string $r$ :

$$
\left.\begin{array}{l}
c_{0}=m_{0} \oplus r \\
c_{1}=m_{1} \oplus r
\end{array}\right\} \text { perfectly indistinguishable }
$$

- If a pseudorandom string $G(s)$ is used instead:

$$
\left.\begin{array}{l}
c_{0}=m_{0} \oplus G(s) \\
c_{1}=m_{1} \oplus G(s)
\end{array}\right\} \text { polynomially indistinguishable }
$$

## Proof sketch

- By reduction. We will show:

| Distinguishing between |
| :--- |
| random strings $r$ and |
| pseudorandom strings $G(s)$ |


$\leq_{\mathrm{P}}$| Breaking encryption scheme $\Pi$ |
| :--- |
| $\left(\begin{array}{l}\text { distinguishing between } \\ \left.\text { ciphertexts } c_{0} \text { and } c_{1}\right)\end{array}\right.$ |

- Notation. $\mathrm{A} \leq_{\mathrm{P}} \mathrm{B}$ : A reduces to B in polynomial time.
- Roughly meaning that we can solve A using an algorithm for B as a subroutine. Hardness of $\mathrm{A} \leq$ hardness of B .
- Example?
- Let $A$ be an arbitrary PPT adversary against encryption scheme $\Pi$.
- Construct a distinguisher $D$ :
- $D$, given as input a string $w \in\{0,1\}^{l(n)}$, wants to determine whether $w$ is random or pseudorandom.
- $D$ runs $\operatorname{PrivK}_{A, \Pi}^{\text {eav }}(n)$ to obtain a pair of messages $m_{0}, m_{1} \in\{0,1\}^{l(n)}$.
- $D$ chooses $b \leftarrow_{u}\{0,1\}$, sets $c:=m_{b} \oplus w$, gives $c$ to $A$, and obtains $b^{\prime}$ from $A$.
- $D$ outputs 1 if $b=b^{\prime}$, and outputs 0 otherwise.


## Distinguisher $D$



- $\operatorname{Pr}\left[D(w)=1: w \leftarrow_{u}\{0,1\}^{l(n)}\right]=\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi^{*}}^{\text {eav }}=1\right]=1 / 2$ where $\Pi^{*}$ is Vernan's one-time pad.
- $\operatorname{Pr}\left[D(w)=1: w:=G(s), s \leftarrow_{u}\{0,1\}^{n}\right]=\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\text {eav }}=1\right]$
- $\operatorname{Pr}\left[D(w)=1: w \leftarrow_{u}\{0,1\}^{l(n)}\right]$
$-\operatorname{Pr}\left[D(w)=1: w:=G(s), s \leftarrow_{u}\{0,1\}^{n}\right] \mid \leq \operatorname{negl}(n)($ Why? $)$
- So, $\left|1 / 2-\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\mathrm{eav}}=1\right]\right| \leq \operatorname{negl}(n)$
$\Rightarrow \operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\mathrm{eav}}=1\right] \leq 1 / 2+\operatorname{negl}(n)$
$\Rightarrow \Pi$ is EAV-secure


## Encrypting multiple messages with a single key

- Stream ciphers require a new key for each message.
- In practice, Alice and Bob wish to share a permanent key $k$ and use it to encrypt multiple messages. One possible strategy:
- For each message $m$, generate a random string $r$ and use $s=k \| r$ as a seed to the pseudorandom generator $G$.
- Include $r$ in the ciphertext, i.e., $c:=E n c_{k}(m):=(r, m \oplus G(k \| r))$.
- It is probabilistic!
- Unfortunately, the resulting scheme is not necessarily EAV-secure. It requires $G$ to be more than a pseudorandom generator for the scheme to be EAV-secure.


## Using stream ciphers in a session

- At the beginning of a session, Alice and Bob agree on two keys $k_{1}$ and $k_{2}$ (called session keys).
- Alice and Bob each run $G\left(k_{1}\right)$ and $G\left(k_{2}\right)$ to get two (long enough) pseudorandom strings, say $P S_{1}$ and $P S_{2}$.
- Alice encrypts her sequence of messeges $\left(m_{1}, m_{2}, m_{3}, \ldots\right)$ as

$$
\left(c_{1}, c_{2}, c_{3}, \ldots\right):=\left(\left(m_{1}, m_{2}, m_{3}, \ldots\right) \oplus P S_{1}\right)
$$

- Bob uses $P S_{2}$ for encryption in a similar way.
- In practice, a stream cipher is designed to generate a random string of desired length bit/byte by bit/byte byte on demand.


## The RC4 Stream Cipher (K\&L: Section 6.1.4)

- Most popular stream cipher
- Simple and fast
- Used in many standards
- Actually not a cipher, but a (practical, approximate) pseudorandom generator. Not truely pseudorandom.
- Designed by Ron Rivest in 1987 for RSA Security, and kept as a trade secret until leaked out in 1994.


## RC4

- Two vectors of bytes:
- $S[0], S[1], S[2], \ldots, S[255]$
- $T[0], T[1], T[2], \ldots, T[255]$
- Input Key (seed) $K$ : variable length, 1 to 256 bytes
- Initialization:

1. $S[i] \leftarrow i$, for $0 \leq i \leq 255$
2. $T[0 . .255] \leftarrow K, K, \ldots$ (until filled up)

## RC4: Initial Permutation

- Initial Permutation of $S$ :

$$
\begin{aligned}
& j \leftarrow 0 \\
& \text { for } i \leftarrow 0 \text { to } 255 \text { do } \\
& \quad j \nleftarrow(j+S[i]+T[i]) \bmod 256 \\
& \quad \text { Swap } S[i], S[j]
\end{aligned}
$$

- Idea: swapping bytes dependently of the input key.
- After this step, the input key will not be used.


## RC4: Key StreamGeneration

- Key stream generation:

$$
\begin{aligned}
& i, j \leftarrow 0 \\
& \text { while (true) }
\end{aligned}
$$

$$
\begin{aligned}
& i \leftarrow(i+1) \bmod 256 \\
& j \leftarrow(j+S[i]) \bmod 256 \\
& S w a p S[i], S[j] \\
& t \leftarrow(S[i]+S[j]) \bmod 256 \\
& \text { output } S[t]
\end{aligned}
$$

- Idea: systematically keep swapping and producing output bytes


## Security of RC4

- RC 4 is not a truly pseudorandom generator.
- The key stream generated by RC4 is biased.
- The second byte is biased toward zero with high probability.
- The first few bytes are strongly non-random and leak information about the input key.
- Defense: discard the initial $n$ bytes of the keystream.
- Called "RC4-drop[ $n$-bytes]".
- Recommended values for $n=256,768$, or 3072 bytes.
- Efforts are under way (e.g. the eSTREAM project) to develop more secure stream ciphers.


## The Use of RC4 in WEP

- WEP is an RC4-based protocol for encrypting data transmitted over an IEEE 802.11 wireless LAN.
- WEP requires each packet to be encrypted with a separate RC 4 key.
- The RC4 key for each packet is a concatenation of a 40-bit or 104-bit long-term key and a random 24-bit R.

RC4 key: Long-term key (40 or 104 bits) $\quad \mathrm{R}(24)$
802.11

Frame: | Header | $R$ | Message |
| :--- | :--- | :--- |
| encrypted |  |  |

## WEP is not secure

- Mainly because of its way of constructing the key
- Can be cracked in a minute
- http://eprint.iacr.org/2007/120.pdf


# Stronger Security Notions 

K\&L: Section 3.4

## Different levels of security

- EAV-security (against eavedroppers, ciphertext-only-attacks)
- one encryption
- multiple encryptions
- CPA-security (against chosen-plaintext attacks)
- one encryption
- multiple encryptions
- CCA-security (against chosen-ciphertext attacks)
- one encryption
- multiple encryptions


## Multiple-ciphertext indist. experiment $\operatorname{PrivK}_{A, \Pi}^{\text {mult }}(n)$

- Adversary: eavesdropper with multiple ciphertexts
- A game between Bob and an adversary $A$ :
- The adversary, given input $1^{n}$, selects two lists of messages
$M_{0}=\left(m_{0}^{1}, m_{0}^{2}, \ldots, m_{0}^{t}\right)$ and $M_{1}=\left(m_{1}^{1}, m_{1}^{2}, \ldots, m_{1}^{t}\right)$ such that $\left|m_{0}^{i}\right|=\left|m_{1}^{i}\right|$ for all $i$.
- Bob chooses a key $k \leftarrow \operatorname{Gen}\left(1^{n}\right)$ and a bit $b \leftarrow{ }_{u}\{0,1\}$; computes $c^{i} \leftarrow E n c_{k}\left(m_{b}^{i}\right)$ for all $i$, and gives the challenge ciphertext list $C=\left(c^{1}, c^{2}, \ldots, c^{t}\right)$ to the adversary.
- The adversary outputs a bit $b^{\prime}$.
- The output of the experiment is 1 iff $b=b^{\prime}$.


## Multiple-ciphertext indist. against an eavesdropper

- Definition: A private-key encryption scheme $\Pi$ has indistinguishable multiple encryptions against an eavesdropper if for all PPT adversaries $A$, there is a negligible function $\operatorname{negl}(n)$ such that (for all $n$ )

$$
\operatorname{Pr}\left[\operatorname{PrivK} \mathrm{K}_{A, \Pi}^{\text {mult }}(n)=1\right] \leq \frac{1}{2}+\operatorname{negl}(n)
$$

where the probability is taken over the randomness used by $A$, by Bob, by Gen, and by Enc.
$\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\text {mult }}(n)=1\right]=\operatorname{Pr}\left[\begin{array}{l}A\left(1^{n}, M_{0}, M_{1}, E n c_{k}\left(M_{b}\right)\right)=b: \\ b \leftarrow{ }_{u}\{0,1\}, k \leftarrow \operatorname{Gen}\left(1^{n}\right), M_{0}, M_{1} \leftarrow A\left(1^{n}\right)\end{array}\right]$

## Deterministic encryption schemes are not multiple-ciphertext indistinguishable

- Theorem: If the Enc of an encryption scheme $\Pi=(\mathrm{Gen}, \mathrm{Enc}, \mathrm{Dec})$ is deterministic, then the scheme cannot have indistinguishable multiple encryptions against an eavesdropper.
- Proof. Suppose Enc is deterministic.

Let $M_{0}=\left(0^{n}, 0^{n}\right)$ and $M_{1}=\left(0^{n}, 1^{n}\right)$. Let the challenge ciphertext list be $C=\left(c_{1}, c_{2}\right)$.
What can $A$ say if $c_{1}=c_{2}$ (or if $c_{1} \neq c_{2}$ )?

- For example, Vernam's one-time pad (for a fixed $n$ ) is single-ciphertext indistinguishable, but not multiple-ciphertext indistinguishable.


## Chosen-Plaintext Attacks (CPA)

- The adversary is capable of adaptively obtaining samples $\left(m_{1}, c_{1}\right), \ldots,\left(m_{t}, c_{t}\right)$, where $m_{i}$ is chosen by the adversary and $c_{i} \leftarrow E n c_{k}\left(m_{i}\right)$ for all $i$.
- We model such an adversary by giving it access to an encryption oracle $E n c_{k}(\cdot)$, viewed as a "black box" that on query $m$ returns a ciphertext $\mathrm{c} \leftarrow E n c_{k}(m)$.

$$
\begin{aligned}
m & \rightarrow \\
E n c_{k}(m) & \leftarrow \text { Oracle } E n c_{k}(\cdot)
\end{aligned}
$$

## CPA indistinguishability experiment $\operatorname{PrivK}_{A, \Pi}^{\mathrm{cpa}}(n)$

1. A key $k \leftarrow \operatorname{Gen}\left(1^{n}\right)$ is generated.
2. The adversary is given input $1^{n}$ and oracle access to $E n c_{k}(\cdot)$. It may request the oracle to encrypt messages of its choice.
3. The adversary chooses two message $m_{0}, m_{1}$ with $\left|m_{0}\right|=\left|m_{1}\right|$; and is given a challenge ciphertext $c \leftarrow E n c_{k}\left(m_{b}\right)$, where $b \leftarrow_{u}\{0,1\}$.
4. The adversary continues to have oracle access to $E n c_{k}(\cdot)$ and may even request the encryptions of $m_{0}$ and $m_{1}$.
5. The adversary finally outputs a bit $b^{\prime}$.
6. The output of the experiment is 1 iff $b=b^{\prime}$.

Note: The CPA here is an adaptive CPA.

## CPA-security

- Definition: A private-key encryption scheme $\Pi$ has indistinguishable encryptions under a chosen-plaintext attack, or is CPA-secure, if for all PPT adversaries $A$, there is a negligible function $\operatorname{negl}(n)$ such that (for all $n$ )

$$
\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\mathrm{cpa}}(n)=1\right] \leq \frac{1}{2}+\operatorname{negl}(n)
$$

where the probability is taken over the randomness used by $A$ as well as the randomness used in the experiment.
$\cdot \operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\mathrm{cpa}}(n)=1\right]=\operatorname{Pr}\left[\begin{array}{l}A^{E n n_{k}(\cdot)}\left(1^{n}, m_{0}, m_{1}, \operatorname{Enc}_{k}\left(m_{b}\right)\right)=b: \\ b \leftarrow_{u}\{0,1\}, k \leftarrow \operatorname{Gen}\left(1^{n}\right), m_{0}, m_{1} \leftarrow A\left(1^{n}\right)\end{array}\right]$

## CPA-security for multiple encryptions

- One approach is to model the adversary as having oracle access to $E n c_{k}(\cdot)$ and having it produce two message lists

$$
M_{0}=\left(m_{0}^{1}, m_{0}^{2}, \ldots, m_{0}^{t}\right) \text { and } M_{1}=\left(m_{1}^{1}, m_{1}^{2}, \ldots, m_{1}^{t}\right)
$$

- Alternatively, we use an oracle $\operatorname{LR}-\operatorname{Enc}_{k, b}(\cdot)$, where $k$ is a key and $b \leftarrow\{0,1\}$. (LR-Enc ${ }_{k, b}(\cdot)$ is denoted by $\operatorname{LR}_{k, b}(\cdot)$ in the book.)

$$
\begin{aligned}
m_{0}, m_{1} & \rightarrow \\
\operatorname{Enc}_{k}\left(m_{b}\right) & \leftarrow
\end{aligned}
$$

The adversary is to guess the value of $b$.

## The LR-oracle experiment $\operatorname{PrivK}_{A, \Pi}^{\mathrm{LR} \text {-cpa }}(n)$

1. A key $k \leftarrow \operatorname{Gen}\left(1^{n}\right)$ is generated.
2. A bit $b \leftarrow_{u}\{0,1\}$ is chosen.
3. The adversary $A$ is given input $1^{n}$ and oracle access to $\operatorname{LR}-\operatorname{Enc}_{k, b}(\cdot)$.
4. The adversary $A$ outputs a bit $b^{\prime}$.
5. The output of the experiment is 1 iff $b=b^{\prime}$.

## CPA-security for multiple encryptions

- Definition: A private-key encryption scheme $\Pi$ has indistinguishable multiple encryptions under a chosen-plaintext attack, or is CPA-secure for multiple encryptions, if for all PPT adversaries $A$, there is a negligible function $\operatorname{negl}(n)$ such that (for all $n$ )

$$
\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\text {LR-cpa }}(n)=1\right] \leq \frac{1}{2}+\operatorname{negl}(n)
$$

where the probability is taken over the randomness used by $A$ as well as the randomness used in the experiment.

- Theorem: For any private-key encryption scheme, CPA-security $\Rightarrow$ CPA-security for multiple encryptions.


# Constructing CPA-Secure Encryption Schemes 

K\&L: Section 3.5


## A CPA-secure encryption scheme (inefficient)

- Let $\mathrm{Func}_{n}$ be the set of all functions $f:\{0,1\}^{n} \rightarrow\{0,1\}^{n}$.
- Construct an encryption scheme $\Pi$ as follows.
- Key generation: uniformly choose a function $f \leftarrow_{u}$ Func $_{n}$.
- To encrypt a message $m \in\{0,1\}^{n}$, uniformly choose a string $r \leftarrow_{u}\{0,1\}^{n}$, and encrypt $m$ as $c:=\langle r, m \oplus f(r)\rangle$.
- To decrypt a ciphertext $c=\langle r, s\rangle$, compute $m:=s \oplus f(r)$.
- Theorem: The encryption scheme $\Pi$ is CPA-secure.
- Proof (sketch). Consider any arbitrary adversary $A$. In the experiment $\operatorname{PrivK}_{A, \Pi}^{\mathrm{cpa}}(n)$, let $c:=\left\langle\tilde{r}, m_{b} \oplus f(\tilde{r})\right\rangle$ be the challenge ciphertext. Since $f(\tilde{r})$ is uniformly random, $c$ is indistinguishable unless, on $A$ 's query $m$, the oracle happens to return $c_{m}:=\langle\tilde{r}, m \oplus f(\tilde{r})\rangle$, in which case $A$ will learn $f(\tilde{r})$. This may occur with probability at most $\operatorname{poly}(n) / 2^{n}$, where $\operatorname{poly}(n)$ is an upper bound on the number of queries $A$ may make to the oracle. Thus,
$\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\text {cpa }}(n)=1\right] \leq \frac{1}{2}+\operatorname{poly}(n) / 2^{n}=\frac{1}{2}+\operatorname{negl}(n)$.
- The secret key here is $f . \mathrm{Q}:$ What's its length?
- Suppose we label the elements/functions in Func ${ }_{n}$ with strings $k \in\{0,1\}^{\ell_{\text {key }}}$. What's the key length $\ell_{\text {key }}$ ?
- How many elements/functions are there in Func ${ }_{n}$ ?
- View each function as a table of $2^{n}$ strings of length $n$.
- There are 2 choices ( 0 or 1 ) for each of the $n \cdot 2^{n}$ bits.
- So, there are $2^{n \cdot 2^{n}}$ different functions. I.e., $\mid$ Func $_{n} \mid=2^{n \cdot 2^{n}}$.
- Thus, $\ell_{\text {key }} \geq \log _{2} 2^{n \cdot 2^{n}}=n \cdot 2^{n}$, which is infeasible.
- Solution:
- Choose a "small" subset of $F u n c_{n}$, say $F u n c_{n}^{\prime}$, such that $F u n c_{n}$ and $F u n c_{n}^{\prime}$ are indistinguishable.
- Then, randomly picking a function from $F u n c_{n}^{\prime}$ (as the key) will be almost as good as randomly picking a function from Func $_{n}$.
- If we choose Func ${ }_{n}^{\prime}$ to contain no more than $2^{n}$ elements, the key length will be at most $n$.
- We will describe $F u n c_{n}^{\prime}$ (which is a set of functions) as a single function with two parameters, called a keyed function.


## Keyed functions

- A keyed function $F:\{0,1\}^{a(n)} \times\{0,1\}^{b(n)} \rightarrow\{0,1\}^{c(n)}$ for all $n \geq 1$, has two inputs. The first one is called the key and denoted $k$.
- Each key $k \in\{0,1\}^{a(n)}$ induces a single-input function:

$$
\begin{aligned}
& F_{k}:\{0,1\}^{b(n)} \rightarrow\{0,1\}^{c(n)} \\
& F_{k}(x)=F(k, x)
\end{aligned}
$$

- $F$ is associated with three functions, $a(n), b(n), c(n)$ (often written as $\left.l_{\text {key }}(n), l_{\text {in }}(n), l_{\text {out }}(n)\right)$ which indicate the lengths of $k, x$, and $F_{k}(x)$.
- $F$ is length-preserving if $l_{\text {key }}(n)=l_{\text {in }}(n)=l_{\text {out }}(n)=n$.
- If $F$ is length-preserving, $F$ induces a set of functions for each $n$ : $\left\{F_{k}:\{0,1\}^{n} \rightarrow\{0,1\}^{n} \mid k \in\{0,1\}^{n}\right\}$
- Q: In general, what set of functions does $F$ induce?


## Keyed Length-Preserving functions

- A keyed length-preserving function $F:\{0,1\}^{n} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$ has two inputs. The first one is called the key and denoted $k$.
- Each key $k \in\{0,1\}^{n}$ induces a single-input function:

$$
\begin{aligned}
& F_{k}:\{0,1\}^{n} \rightarrow\{0,1\}^{n} \\
& F_{k}(x)=F(k, x)
\end{aligned}
$$

- That is, $F$ induces a set of functions for each $n$ :
$\left\{F_{k}:\{0,1\}^{n} \rightarrow\{0,1\}^{n} \mid k \in\{0,1\}^{n}\right\}$


## Pseudorandom functions

- Let $F$ be a keyed length-preserving function.
- Recall Func ${ }_{n}=$ the set of all functions $f:\{0,1\}^{n} \rightarrow\{0,1\}^{n}$.
- $F$ is a pseudorandom function if the two ensembles of sets

$$
\left(\left\{F_{k} \mid k \in\{0,1\}^{n}\right\}\right)_{n \in \mathbb{N}} \text { and }\left(\text { Func }_{n}\right)_{n \in \mathbb{N}}
$$

are polynomially indistinguishable, i.e., if for every PPT distinguisher $D$, it holds:

$$
\begin{aligned}
\mid \operatorname{Pr}\left[D^{F_{k} \cdot()}\left(1^{n}\right)\right. & \left.=1: k \leftarrow_{u}\{0,1\}^{n}\right] \\
& -\operatorname{Pr}\left[D^{f(\cdot)}\left(1^{n}\right)=1: f \leftarrow_{u} \text { Func }_{n}\right] \mid \leq \operatorname{negl}(n)
\end{aligned}
$$

## General pseudorandom functions

- Let $F:\{0,1\}^{\mathrm{l}_{\text {ke }}(n)} \times\{0,1\}^{l_{\mathrm{in}}(n)} \rightarrow\{0,1\}^{l_{\text {out }}(n)}$ be a keyed function.
- Define $\overline{\text { Func }}_{n}=$ the set of all functions $f:\{0,1\}^{l_{\text {lin }}(n)} \rightarrow\{0,1\}^{l_{\text {out }}(n)}$.
- $F$ is a pseudorandom function if the two ensembles of sets

$$
\left(\left\{F_{k} \mid k \in\{0,1\}^{h_{\text {ces }}(n)}\right\}\right)_{n \in \mathbb{N}} \text { and }\left(\overline{\operatorname{Func}}_{n}\right)_{n \in \mathbb{N}}
$$

are polynomially indistinguishable, i.e., if for every PPT distinguisher $D$, it holds:

$$
\begin{aligned}
& \mid \operatorname{Pr}\left[D^{F_{k}(\cdot)}\left(1^{n}\right)=1: k \leftarrow_{u}\{0,1\}^{k_{\text {coc }}(n)}\right] \\
& -\operatorname{Pr}\left[D^{f()}\left(1^{n}\right)=1: f \leftarrow_{u}{\overline{\operatorname{Func}_{n}}}_{n}\right] \mid \leq \operatorname{negl}(n)
\end{aligned}
$$

## Example keyed length-preserving function

- Suppose $F(k, x)=k \oplus x$.
- Then, $\quad F_{k}(x)=k \oplus x$.
- Is $F$ a pseudorandom function?
- For any $k$ and $x, F_{k}(x) \oplus F_{k}(\bar{x})=(k \oplus x) \oplus(k \oplus \bar{x})=1^{n}$.
- Based on this, we design a distinguisher $D$ as follows. Given a function $h$ (as an oracle), $D$ asks the oracle to compute $h(x)$ and $h(\bar{x})$ for some $x \in\{0,1\}^{n}$, say $x=0^{n}$. If $h(x) \oplus h(\bar{x})=1^{n}, D$ returns 1 , else returns 0 . We have $\operatorname{Pr}\left[D^{F_{k}(\cdot)}\left(1^{n}\right)=1: k \leftarrow_{u}\{0,1\}^{n}\right]=1$
$\operatorname{Pr}\left[D^{f(\cdot)}\left(1^{n}\right)=1: f \leftarrow_{u}\right.$ Func $\left._{n}\right]=2^{-n}$

$$
\begin{aligned}
& \operatorname{Pr}\left[D^{f(\cdot)}\left(1^{n}\right)=1: f \leftarrow_{u} \text { Func }_{n}\right] \\
& =\sum_{f} \operatorname{Pr}[f \text { is picked }] \cdot \operatorname{Pr}\left[D^{f(\cdot)}\left(1^{n}\right)=1\right] \\
& =\frac{1}{2^{n 2^{2^{\prime}}} \cdot \sum_{f} \operatorname{Pr}\left[f(x) \oplus f(\bar{x})=1^{n}\right]} \\
& =\frac{1}{2^{n 2^{n^{\prime}}}} \cdot \frac{2^{n 2^{n}}}{2^{n}} \\
& =\frac{1}{2^{n}}
\end{aligned}
$$

## Permutations

- A function $f: X \rightarrow X$ is called a permutation if it is bijective (one-to-one and onto).
- We are interested in permutations $f:\{0,1\}^{l(n)} \rightarrow\{0,1\}^{l(n)}$, especially with $l(n)=n$.


## Pseudorandom permutations

- A keyed permutation is a keyed function $F$ for which each $F_{k}$ is a permutation.
- Perm $_{n}$, the set of all permutations $f:\{0,1\}^{n} \rightarrow\{0,1\}^{n}$.
- A length-preserving keyed permutation $F$ is a pseudorandom permutation if for every PPT distinguisher $D$, it holds:

$$
\begin{aligned}
\operatorname{Pr}\left[D^{F_{k}(\cdot)}\left(1^{n}\right)\right. & \left.=1: k \leftarrow_{u}\{0,1\}^{n}\right] \\
& -\operatorname{Pr}\left[D^{f(\cdot)}\left(1^{n}\right)=1: f \leftarrow_{u} \operatorname{Perm}_{n}\right] \mid \leq \operatorname{negl}(n)
\end{aligned}
$$

- Theorem: A pseudorandom permutation is also a pseudorandom function (assuming $l(n) \geq n$ ).


## CPA-secure encryption using pseudorandom functions

- Let $F$ be a pseudorandom function. Construct an encryption scheme $\Pi$ for messages of length $n$ as follows.
- Gen: on input $1^{n}$, output a key $k \leftarrow_{u}\{0,1\}^{n}$.
- Enc: on input a key $k \in\{0,1\}^{n}$ and a message $m \in\{0,1\}^{n}$, choose uniformly a string $r \leftarrow_{u}\{0,1\}^{n}$ and output the ciphertext $c:=\left\langle r, F_{k}(r) \oplus m\right\rangle$.
- Dec: on input a key $k \in\{0,1\}^{n}$ and a ciphertext $c=\langle r, s\rangle$, output the plaintext message $m:=F_{k}(r) \oplus s$.

- Theorem: The encryption scheme $\Pi$ is CPA-secure.
- Proof (basic idea).
- In scheme $\Pi$, a function $f \in F u n c_{n}$ is used as a key.
- In scheme $\Pi$, a function $F_{k} \in\left\{F_{k}: k \in\{0,1\}^{n}\right\}$ is used as a key.
- Since $F u n c_{n}$ and $\left\{F_{k}: k \in\{0,1\}^{n}\right\}$ are indistinguishable, it can be shown by reduction that

$$
\left|\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\text {cpa }}(n)=1\right]-\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\text {cpa }}(n)=1\right]\right| \leq \operatorname{negl}(n)
$$

- We already know

$$
\operatorname{Pr}\left[\operatorname{PrivK} K_{A, \Pi_{1}}^{\text {cpa }}(n)=1\right] \leq \frac{1}{2}+\operatorname{negl}(n) .
$$

- Thus, $\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\mathrm{cpa}}(n)=1\right] \leq \frac{1}{2}+\operatorname{negl}(n)$.


## If $F$ is a pseudorandom permutation

- Since $F$ is also a pseudorandom function, we may encrypt a message $m \in\{0,1\}^{n}$ as before:

1) $c:=\left\langle r, F_{k}(r) \oplus m\right\rangle$, where $r \leftarrow_{u}\{0,1\}^{n} . \quad$ //CPA-secure//

- If $F_{k}^{-1}(m)$ is efficiently computable, we may also encrypt $m$ as

2) $c:=F_{k}(m) \quad / /$ deterministic, so not CPA-secure//
3) $c:=\left\langle r, F_{k}(r \oplus m)\right\rangle$, where $r \leftarrow_{u}\{0,1\}^{n}$. //CPA-secure//

Q: How to decrypt a ciphertext $c=\langle r, s\rangle$ ?
(Assume that $F_{k}$ is efficiently computable.)

# Modes of Operations 

K\&L: Section 3.6.2

## Encrypting long messages

- Now let's see how to encrypt a message of arbitrary length using a pseudorandom function or permutation.
- Encryption algorithm: On input $m \in\{0,1\}^{*}$ and key $k$,
- Pad the message so that its length is a multiple of $n$ (block size).
- Divide the padded message $m$ into blocks, say

$$
m=\left(m_{1}, m_{2}, m_{3}, \ldots, m_{t}\right)
$$

- Individually encrypt each block $m_{i}$ :

$$
r_{i} \leftarrow_{u}\{0,1\}^{n} \quad \text { and } \quad c_{i}:=F_{k}\left(r_{i}\right) \oplus m_{i}
$$

- The final ciphertext is

$$
c:=\left\langle\left(r_{1}, c_{1}\right),\left(r_{2}, c_{2}\right), \ldots,\left(r_{t}, c_{t}\right)\right\rangle
$$

- The ciphertext is twice as long as the message. Inefficient!


## Modes of operation

- More efficient ways to do it are traditionaly called modes of operation (of block ciphers).
- Main idea: generate a single random string $I V \leftarrow_{u}\{0,1\}^{n}$ and derive $r_{1}, r_{2}, \ldots, r_{t}$ from $I V$. (IV : Initialization Vector)
- The ciphertext will be of the form

$$
c=\left\langle I V, c_{1}, c_{2}, \ldots, c_{t}\right\rangle
$$

- Important modes of operation:
- Counter mode (CTR): $\quad r_{i}=I V+i$
- Output feedback mode $(\mathrm{OFB}): r_{1}=I V, r_{i}=F_{k}\left(r_{i-1}\right)$
- Cipher feedback mode (CFB) : $c_{0}=I V, r_{i}:=c_{i-1}$
- Cipher block chaining mode (CBC): $c_{0}=I V, r_{i}:=c_{i-1}$


## Counter mode (CTR)

- Idea: The strings $r_{1}, r_{2}, \ldots, r_{t}$ are $r_{i}=I V+i$ for $1 \leq i \leq t$.
- Thus, to encrypt a message $m=\left(m_{1}, m_{2}, m_{3}, \ldots, m_{t}\right)$ with key $k$
- Choose a random string $I V \leftarrow_{u}\{0,1\}^{n}$.
- Encrypt $m$ as

$$
\begin{aligned}
c:=\left\langle I V, c_{1}, c_{2}, \ldots, c_{t}\right\rangle, \text { where } c_{i} & :=F_{k}\left(r_{i}\right) \oplus m_{i} \\
r_{i} & :=I V+i
\end{aligned}
$$

- Strength: Blocks can be encrypted (or decrypted) in parallel or in a "random access" fashion.


## Counter Mode (CTR)



## Output feedback mode (OFB)

- Idea: The strings $r_{1}, r_{2}, \ldots, r_{t}$ are $r_{1}=I V$ and $r_{i}=F_{k}\left(r_{i-1}\right)$
- Thus, to encrypt a message $m=\left(m_{1}, m_{2}, m_{3}, \ldots, m_{t}\right)$ with key $k$
- Choose a random string $I V \leftarrow_{u}\{0,1\}^{n}$.
- Encrypt $m$ as $c:=\left\langle I V, c_{1}, c_{2}, \ldots, c_{t}\right\rangle$
where

$$
\begin{aligned}
c_{i} & :=F_{k}\left(r_{i}\right) \oplus m_{i} \\
r_{1} & :=I V, \text { and } r_{i}:=F_{k}\left(r_{i-1}\right) \text { for } 2 \leq i \leq t
\end{aligned}
$$

## Output feedback



## Cipher feedback mode (CFB)

- Idea: The strings $r_{1}, r_{2}, \ldots, r_{t}$ are chosen to be $r_{i}:=c_{i-1}$, where $c_{0}=I V$ and $c_{i-1}$ is the previous cipher block.
- Thus, the ciphertext of $m=\left(m_{1}, m_{2}, m_{3}, \ldots, m_{t}\right)$ is
$c:=\left(c_{0}, c_{1}, c_{2}, \ldots, c_{t}\right)$
where $c_{0}:=I V$

$$
c_{i}:=F_{k}\left(c_{i-1}\right) \oplus m_{i} \text { for } 1 \leq i \leq t .
$$

## How is Cipher Feedback (CFB) different from OFB?



## Cipher block chaining mode (CBC)

- Assume $F$ is a pseudorandom permutation and $F_{k}^{-1}$ is efficiently computable.
- Each block $m_{i}$ is encrypted as $c_{i}=F_{k}\left(r_{i} \oplus m_{i}\right)$.
- The strings $r_{1}, r_{2}, \ldots, r_{t}$ are chosen to be $r_{i}=c_{i-1}$ for $1 \leq i \leq t$, with $c_{0}=I V$, and $c_{i-1}$ being the previous cipher block.
- Thus, the ciphertext of $m=\left(m_{1}, m_{2}, m_{3}, \ldots, m_{t}\right)$ is

$$
\begin{aligned}
& c:=\left(c_{0}, c_{1}, c_{2}, \ldots, c_{t}\right) \\
& \text { where } \quad c_{0}:=I V \\
& \\
& \quad c_{i}:=F_{k}\left(c_{i-1} \oplus m_{i}\right) \text { for } 1 \leq i \leq t .
\end{aligned}
$$

## Cipher block chaining (CBC)



## CBC

## Message 1: $\left(m_{1}, m_{2}, m_{3}\right)$ <br> Message 2: $\left(m_{4}, m_{5}\right)$



## Chained CBC

Used in SSL 3.0 and TLS 1.0, but is not CPA-secure.

Message 1: $\left(m_{1}, m_{2}, m_{3}\right)$
Message 2: $\left(m_{4}, m_{5}\right)$


## Insecurity of Chained CBC

- Let adversary $A$ chooses two messages $M=\left(m_{1}, m_{2}, m_{3}\right)$, $M^{\prime}=\left(m_{1}^{\prime}, m_{2}, m_{3}\right)$ such that $m_{1} \neq m_{1}^{\prime}$.
- Let $C=\left(I V, c_{1}, c_{2}, c_{3}\right)$ be the challenge ciphertext.
- A knows the oracle is going to use $c_{3}$ in the next encryption. So, $A$ prepares $m_{4}$ such that $I V \oplus m_{1}=c_{3} \oplus m_{4}$, and asks the oracle to encrypt it. Suppose $A$ receives $c_{4}$ from the oracle.
- Depending on whether $c_{1}=c_{4}, A$ knows whether $C$ is the encryption of $M$ or $M^{\prime}$.

Is $C=\left(I V, c_{1}, c_{2}, c_{3}\right)$ the encryption of $M=\left(m_{1}, m_{2}, m_{3}\right)$

$$
\text { or } M^{\prime}=\left(m_{1}^{\prime}, m_{2}, m_{3}\right) \text { ? }
$$



## Electronic codebook mode (ECB)

- Use a pseudorandom permutation $F$.
- $m=\left(m_{1}, m_{2}, m_{3}, \ldots, m_{t}\right)$
- Each block $m_{i}$ is encrypted as $c_{i}=F_{k}\left(m_{i}\right)$.
- The resulting scheme is deterministic and not CPA secure.
- Used only for sending a short message (in a single block).

Electronic Code Book (ECB)


## Security of CBC, OFB, CFB, CTR

- If $F$ is a pseudorandom function or permutation, then OFB, CFB, CTR are CPA-secure.
- If $F$ is a pseudorandom permutation, then CBC is CPA-secure.


## Chosen-Ciphertext Attacks

K\&L Section 3.7

## CCA indistinguishability experiment $\operatorname{PrivK}_{A, \Pi}^{\text {ca }}(n)$

1. A key $k \leftarrow G e n\left(1^{n}\right)$ is generated.
2. The adversary is given input $1^{n}$ and oracle access to $E n c_{k}(\cdot)$ and $\operatorname{Dec}_{k}(\cdot)$.
3. The adversary chooses two message $m_{0}, m_{1}$ with $\left|m_{0}\right|=\left|m_{1}\right|$; and is given a challenge ciphertext $c \leftarrow E n c_{k}\left(m_{b}\right)$, where $b \leftarrow_{u}\{0,1\}$.
4. The adversary continues to have oracle access to $E n c_{k}(\cdot)$ and $\operatorname{Dec}_{k}(\cdot)$, but is not allowed to request the decryption of $c$ itself.
5. The adversary finally outputs a bit $b^{\prime}$.
6. The output of the experiment is 1 iff $b=b^{\prime}$.

Note: The CCA defined here has the capabilities of both CPA and "pure CCA".

## CCA-security

- Definition: A private-key encryption scheme $\Pi$ has indistinguishable encryptions under a chosen-ciphertext attack, or is CCA-secure, if for all PPT adversaries $A$, there is a negligible function $\operatorname{negl}(n)$ such that (for all $n$ )

$$
\operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\mathrm{cca}}(n)=1\right] \leq \frac{1}{2}+\operatorname{negl}(n)
$$

where the probability is taken over the randomness used by $A$ as well as the randomness used in the experiment.
$\cdot \operatorname{Pr}\left[\operatorname{PrivK}_{A, \Pi}^{\mathrm{cca}}(n)=1\right]=\operatorname{Pr}\left[\begin{array}{l}A^{E n c_{k}(\cdot), \operatorname{Dec}_{k}(\cdot)}\left(1^{n}, m_{0}, m_{1}, E n c_{k}\left(m_{b}\right)\right)=b: \\ b \leftarrow_{u}\{0,1\}, k \leftarrow G e n\left(1^{n}\right), m_{0}, m_{1} \leftarrow A\left(1^{n}\right)\end{array}\right]$

## CCA-security for multiple encryptions



- Experiment $\operatorname{PrivK}_{A, \Pi}^{\mathrm{LR} \text {-cca }}(n)$ : same as $\operatorname{Priv}_{A, \Pi}^{\mathrm{LR} \text {-cpa }}(n)$ except ... (what?)
- Definition: A private-key encryption scheme $\Pi$ has indistinguishable multiple encryptions under a chosen-ciphertext attack, or is CCA-secure for multiple encryptions, if for all PPT adversaries $A$, there is a negligible function negl( $n$ ) such that (for all $n$ )

$$
\operatorname{Pr}\left[\operatorname{PrivK} \mathrm{A}_{A, \Pi}^{\mathrm{LR} \text {-ca }}(n)=1\right] \leq \frac{1}{2}+\operatorname{negl}(n)
$$

where the probability is taken over the randomness used by $A$ as well as the randomness used in the experiment.

- Theorem: For any private-key encryption scheme,

CCA-security $\Rightarrow$ CCA-security for multiple encryptions.

## CCA insecurity

- The encryption schemes we have seen so far are not CCA-secure.
- If a ciphertext $c \leftarrow E n c_{k}(m)$ can be manipulated in a controlled way, then the encryption scheme is not CCA-secure.
- Example: consider the scheme $E n c_{k}(m) \leftarrow\left(r, F_{k}(r) \oplus m\right)$.
- The adversary chooses any two messages $m_{0}, m_{1}$ of equal length.
- Let the challenge ciphertext be $\langle r, c\rangle$ where

$$
c:=F_{k}(r) \oplus m_{b}, \text { with } b \in\{0,1\} .
$$

- The adversary modifies $\langle r, c\rangle$ to $\langle r, \bar{c}\rangle=\left\langle r, f_{k}(r) \oplus \bar{m}_{b}\right\rangle$, which is a legitimate ciphertext of $\bar{m}_{b}$.
- Requesting the oracle to decrypt $\langle r, \bar{c}\rangle$, the adversary will get $\bar{m}_{b}$ and hence know the value of $b$.

Constructing a CCA-secure encryption scheme

- We will see that:

CPA-secure encryption + secure MAC
$\Rightarrow$ CCA-secure encryption

# Padding-Oracle Attack: a concrete example of (partial) chosen-ciphertext attacks 

K\&L Section 3.7.2

## The Setting

- We will attack the CBC-mode encryption scheme that uses PKCS\#5 padding.
- L: block length (in bytes).
- $b$ : pad length (in bytes). $1 \leq b \leq L \leq 255$
- PKCS\#5 padding:
- The value of $b$ (as an 8-bit binary) is repeated $b$ times.
- Examples: 0x01, 0x0202, 0x030303, 0x04040404.
- Message refers to the original message (w/o padding).
- Encoded data refers to the padded message.
- The encoded data is encrypted using CBC-mode encryption.


## A Padding Oracle

- On receiving a ciphertext, the receiver decrypts it to recover the encoded data and checks if the padding is correct.
- If not correct, the receiver typically sends back a "bad padding" error message (e.g., in Java, javax.crypto.BadPaddingException).
- Such receivers provide the adversary with a padding oracle which may be viewed as a partial decryption oracle.

- Using such a padding oracle, the adversary can recover the original message.


## Modify the encoded data in a controlled fashion

- Suppose the encoded data is $\left\langle m_{1}, m_{2}\right\rangle$, unknown to the adversary; and the ciphertext is $\left\langle I V, c_{1}, c_{2}\right\rangle$, known to the adversary.
- Recall: $c_{2}=F_{k}\left(m_{2} \oplus c_{1}\right)$ and so $m_{2}=F_{k}^{-1}\left(c_{2}\right) \oplus c_{1}$.
- Thus, $m_{2} \oplus \Delta=F_{k}^{-1}\left(c_{2}\right) \oplus c_{1} \oplus \Delta$. That is,

$$
\begin{array}{cl}
\left\langle I V, c_{1}, c_{2}\right\rangle & \xrightarrow{D e c}\left\langle m_{1}, m_{2}\right\rangle \\
\left\langle I V, c_{1} \oplus \Delta, c_{2}\right\rangle & \xrightarrow{D e c}\left\langle m_{1}^{\prime}, m_{2} \oplus \Delta\right\rangle
\end{array}
$$

- By modifying the ciphertext, the adversary can modify the encoded data in a controlled fashion and then ask the oracle if the padding (of the modified encoded data) is correct.


## Cipher block chaining (CBC)



## Find out the pad length $b$

- Example: modifying the 5th byte will result in a padding error.

$$
m_{2}=\begin{array}{|l|l|l|l|l|l|l|}
\hline 0 \times 33 & 0 \times 22 & 0 \times 11 & 0 x 44 & 0 x 03 & 0 x 03 & 0 x 03 \\
\hline
\end{array}
$$

- In general, to find the pad length, the adversary runs:
for $i \leftarrow 1$ to $L$ do
modify the $i$ th byte of $c_{1}$
send the resulting ciphertext to the receiver/oracle
if receiving a padding error then return $b:=L-(i-1)$


## Recover the message byte by byte

- Having known $b=3$, how to recover the byte $w$ ?

$$
\begin{aligned}
& m_{2}=\begin{array}{|c|c|c|c|c|c|c|}
\hline x & y & z & w & 0 x 03 & 0 x 03 & 0 x 03 \\
m_{2}^{\prime}=\begin{array}{|c|c|c|c|c|c|c|}
\hline x & y & z & w \oplus i & 0 x 04 & 0 x 04 & 0 x 04 \\
\hline
\end{array}
\end{array} \begin{array}{c}
\text { 0x }
\end{array} \\
& \hline
\end{aligned}
$$

- Try (how?) every string $i \in\{0,1\}^{8}$ until there is no padding error, for which $i$,

$$
w \oplus i=0 \times 04 \Rightarrow w=0 \times 04 \oplus i
$$

- How: modify $c_{1}$ to $c_{1} \oplus \Delta_{i}$, with $\Delta_{i}=0^{8} 0^{8} 0^{8} i(0 \times 03 \oplus 0 \times 04)^{3}$ and present the resulting ciphertext $\left\langle I V, c_{1} \oplus \Delta_{i}, c_{2}\right\rangle$ to the oracle, which after decryption will see $\left\langle m_{1}^{\prime}, m_{2}^{\prime}\right\rangle$.

Recover the message byte by byte

- Having recovered $w$, how to recover $z$ ?

$$
\begin{aligned}
& m_{2}=\begin{array}{|c|c|c|c|c|c|c|}
\hline x & y & z & w & 0 \times 03 & 0 x 03 & 0 \times 03 \\
m_{2}^{\prime}=\begin{array}{|c|c|c|c|c|c|c|}
\hline x & y & z \oplus i & 0 \times 05 & 0 \times 05 & 0 \times 05 & 0 \times 05 \\
\hline
\end{array}
\end{array} . \begin{array}{c} 
\\
\hline
\end{array} \\
& \hline
\end{aligned}
$$

- Try every string $i \in\{0,1\}^{8}$ until no padding error, then

$$
z \oplus i=0 \times 05 \Rightarrow z=0 \times 05 \oplus i
$$

- How: modify $c_{1}$ to $c_{1} \oplus \Delta_{i}$, with $\Delta_{i}=0^{8} 0^{8} i(w \oplus 0 \mathrm{x} 05)(0 \mathrm{x} 03 \oplus 0 \mathrm{x} 05)^{3}$ and present the resulting ciphertext $\left\langle I V, c_{1} \oplus \Delta_{i}, c_{2}\right\rangle$ to the oracle, which after decryption will see $\left\langle m_{1}^{\prime}, m_{2}^{\prime}\right\rangle$.

