Parameter-free Topology Inference and Sparsification of Data on Manifolds

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Joint work with Zhe Dong and Yusu Wang

(SODA, Barcelona)

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# Surface Reconstruction



#### • Crust, Cocone are parameter-free

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# Point cloud $\rightarrow$ Complex $\rightarrow$ Homology inference



#### Figure: Point cloud

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# $Point \ cloud {\rightarrow} Complex {\rightarrow} Homology \ inference$









Figure: Point cloud

Figure: Rips complex

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# $Point \ cloud {\rightarrow} Complex {\rightarrow} Homology \ inference$













Figure: Point cloud

Figure: Rips complex

Figure: Loops

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Rips complex  $\mathcal{R}^{\alpha}(P)$ : parameter  $\alpha$ 



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# Sample density

- Globally uniform sample
  - Estimate  $\alpha$  by NN distance
  - Works, but stringent requirement
  - Assume dense wrt smallest feature size  $\rho$  and assume parameter  $\alpha <<\rho$



# Sample density

- Globally uniform sample
  - Estimate  $\alpha$  by NN distance
  - Works, but stringent requirement
  - Assume dense wrt smallest feature size  $\rho$  and assume parameter  $\alpha << \rho$
- Locally dense sample
  - Sample density varies with "local" feature size
  - How to estimate  $\alpha$
  - Worse, there may not exist a 'global'  $\alpha$ ;





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# Difficulty with global $\alpha$ for locally dense sampling



# Adaptive $\alpha$



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# Adaptive $\alpha$



Goal:

- compute a function  $f: P \to \mathbb{R}$  bounded by 'lfs' and 'wfs'
- adjust sample density following  $f \implies$  sparsification

### Data Sparsification

- $P \subset \mathcal{M} \subset \mathbb{R}^d$ , a dense discrete sample of a manifold.
- Compute  $Q \subset P$  so that
  - $\triangleright |Q| << |P|$  and 'locally uniform'
  - $\triangleright \,$  topology of  ${\mathcal M}$  can still be inferred from Q



### Local feature size

• Medial axis  $A := A(\mathcal{M})$ 



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• Local feature size lfs(x) := d(x, A);

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• Medial axis  $A := A(\mathcal{M})$ 



- Local feature size lfs(x) := d(x, A);
- Locally  $\varepsilon$ -dense sample P [ABE 1998]
  - $\forall x \in \mathcal{M}, \exists p \in P \text{ such that } d(x,p) \leq \varepsilon \cdot lfs(x);$



• Distance function  $d : \mathbb{R}^k \to \mathbb{R}$ ,  $d(x) = d(x, \mathcal{M})$ 

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- Critical points of d where the gradient vanishes [Grove'93]



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• Offset topology changes at critical points



•  $C_M$ : set of critical points of d

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- Local weak feature size  $lwfs(x) := d(x, C_M)$

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- Weak feature size

$$wfs(\mathcal{M}) := \inf_{x \in \mathcal{M}} lwfs(x)$$
 [CL06]

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### Definition

Q is  $\delta\text{-sparse }\varepsilon\text{-dense sample wrt }f:\mathcal{M}\to\mathbb{R}$  if

- $\forall x \in \mathcal{M}, \exists q \in Q, d(x,q) \leq \varepsilon f(x)$
- $\bullet$  for any  $q,s\in Q$  , one has  $d(q,s)\geq \delta f(q)$

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- Introduce Lean-set feature size f = Lnfs
  - which can be computed efficiently
  - ▶ also satisfies  $\forall x \in \mathcal{M}, c_1 \cdot lfs(x) \leq Lnfs(x) \leq c_2 \cdot lwfs(x)$

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A pair  $(p,q) \in P \times P$  is  $\beta$ -good if

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• where  $c_{\beta} = \frac{1}{3} \tan \beta$ .

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Lean-set feature size

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# Approximation by Lnfs

• P is an locally  $\varepsilon$ -dense sample of  $\mathcal{M}$ 

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Image: A matrix

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### Theorem (Approximation)

Given a local  $\varepsilon$ -dense sample of  $\mathcal{M}$ , for any  $x \in \mathcal{M}$ , one has:

$$c_1 \cdot lfs(x) \le Lnfs_{\beta}(x) \le c_2 \cdot lwfs(x)$$

•  $c_1, c_2$  depend on  $\beta$  and  $\varepsilon$ • In particular,  $\beta$  close to  $\frac{\pi}{4}$  works for  $\varepsilon$  small enough

# Sparsification using Lnfs

- $L_{\beta}$ : computed lean set;  $Lnfs_{\beta}(p) := d(p, L_{\beta})$
- $\delta$ : sparsification constant (can be chosen to depend only on  $\beta$ )
- $\operatorname{LEAN}(P, \beta, \delta)$  by iterative deletions
  - Put each  $p \in P$  in max priority queue with priority  $Lnfs_{\beta}(p)$
  - While (queue not empty)
    - \*  $q \leftarrow Extractmax(queue);$

$$\star \ Q \leftarrow Q \cup \{q\};$$

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# Sparsification using Lnfs

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### Theorem (Sparsification)

Given a local  $\varepsilon$ -dense sample  $P \subset \mathcal{M}$ , the LEAN $(P,\beta,\delta)$  computes a  $\delta$ -sparse,  $\frac{4}{3}\delta$ -dense sample  $Q \subset P$  wrt  $Lnfs_{\beta}(\cdot)$ .

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Given a local  $\varepsilon$ -dense sample  $P \subset \mathcal{M}$ , the LEAN( $P,\beta,\delta$ ) computes a  $\delta$ -sparse,  $\frac{4}{3}\delta$ -dense sample  $Q \subset P$  wrt  $Lnfs_{\beta}(\cdot)$ .



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• Define scaled distance  $h(x) := \frac{d(x,\mathcal{M})}{d(x,\mathcal{M}) + Lnfs_{\beta}(x)}$ 

• Offset wrt h:  $\mathcal{M}_{\alpha} := h^{-1}[0, \alpha]$ 

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•  $P_{\alpha} := \bigcup_{p \in P} B(p, \alpha Lnfs_{\beta}));$ 



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•  $P_{\alpha} := \cup_{p \in P} B(p, \alpha Lnfs_{\beta}));$ 



### Proposition

If P is a  $\delta\text{-dense}$  wrt  $Lnfs_\beta(\cdot),$  then for  $\alpha>0$ 

$$\mathcal{M}_{\frac{\alpha}{1+2\alpha}} \subseteq P_{\alpha+\delta+\alpha\delta} \subseteq \mathcal{M}_{\alpha+\delta+\alpha\delta}$$

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• Define  $C^{\alpha}(P)$ : nerve of  $P_{\alpha}$  (adaptive Čech wrt  $Lnfs_{\beta}(\cdot)$ )

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• Define  $C^{\alpha}(P)$ : nerve of  $P_{\alpha}$  (adaptive Čech wrt  $Lnfs_{\beta}(\cdot)$ )

Proposition

For  $\beta < \theta < \frac{\pi}{4}$ ,  $\alpha + \delta \leq \frac{1}{3} \frac{\cos 2\theta}{1 + \cos 2\theta}$ , and P a  $\delta$ -dense sample wrt  $Lnfs_{\beta}(\cdot)$ , one has:

 $\operatorname{im}\left(H_i(C^{\alpha+\delta}(P))\to H_i(C^{3(\alpha+\delta)}(P))\right)\cong H_i(\mathcal{M})$ 

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- Adaptive Rips  $R^{\alpha}(P) := \{ \sigma \mid d(p,q) \leq \alpha \cdot \left( Lnfs_{\beta}(p) + Lnfs_{\beta}(q) \right) \}$
- Interleaving:  $C^{\alpha}(P) \subseteq R^{\alpha}(P) \subseteq C^{2\alpha}(P)$

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# Homology inference

### Theorem

• 
$$\beta = \frac{\pi}{5}$$
,  $\delta = \frac{1}{26} \frac{\cos 2\beta}{1 + \cos 2\beta}$ 

•  $Q \subseteq P$  be  $\delta$ -sparse,  $\frac{4}{3}\delta$ -dense wrt  $Lnfs_{\beta}(\cdot)$  where  $P \subset \mathcal{M}$  is locally  $\varepsilon$ -dense

Then, im  $(H_i(R^{2\delta}(Q)) \to H_i(R^{12\delta}(Q))) \cong H_i(\mathcal{M})$ 

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# Homology inference

### Theorem

Then, im  $(H_i(R^{2\delta}(Q)) \to H_i(R^{12\delta}(Q))) \cong H_i(\mathcal{M})$ 

- Compute Q by LEAN( $P, \beta, \delta$ )
- Compute persistence  $H_i(R^{2\delta}(Q)) \to H_i(R^{12\delta}(Q))$  where  $R^{\alpha}(P) := \{ \sigma \mid d(p,q) \leq \alpha \cdot (Lnfs_{\beta}(p) + Lnfs_{\beta}(q)) \}$
- Each  $q \in Q$  has O(1) neighbors, so complex size linear in |Q|

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# Open questions

#### Extending lean-set based sparsification to noisy data? $\triangleright$

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- Extending lean-set based sparsification to noisy data?  $\triangleright$
- What about non-manifolds?

# Open questions

- Extending lean-set based sparsification to noisy data?
- What about non-manifolds?
- ▷ Potential use in topological data analysis.

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# Thank you !



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