Concurrent Programming

CSE 2431: Introduction to Operating Systems
Reading: §§12.1–12.3, [CSAPP]
Concurrent Programming is Hard!

- The human mind tends to be sequential
- The notion of time is often misleading
- Thinking about all possible sequences of events in a computer system is at least error prone and frequently impossible
Concurrent Programming is Hard!

• Classical problem classes of concurrent programs:
  – **Races:** outcome depends on arbitrary scheduling decisions elsewhere in the system
    • Example: who gets the last seat on the airplane?
  – **Deadlock:** improper resource allocation prevents forward progress
    • Example: traffic gridlock
  – **Livelock / Starvation / Fairness:** external events and/or system scheduling decisions can prevent sub-task progress
    • Example: people always jump in front of you in line

• Many aspects of concurrent programming are beyond the scope of this class
Iterative Echo Server

Client

socket

connect

Client / Server Session

rio_readlineb

 rio_writen

close

Server

socket

bind

listen

open_listenfd

Connection request

accept

close

Await connection request from next client
Iterative Servers

- Iterative servers process one request at a time
Where Does Second Client Block?

- Second client attempts to connect to iterative server

  **Client**

  - Call to `connect` returns
    - Even though connection not yet accepted
    - Server side TCP manager queues request
    - Feature known as “TCP listen backlog”

  - Call to `rio_writen` returns
    - Server side TCP manager buffers input data

  - Call to `rio_readlineb` blocks
    - Server hasn’t written anything for it to read yet.
Fundamental Flaw of Iterative Servers

- **Solution:** use *concurrent servers* instead
  - Concurrent servers use multiple concurrent flows to serve multiple clients at the same time
Creating Concurrent Flows

– Allow server to handle multiple clients simultaneously

• 1. Processes
  – Kernel automatically interleaves multiple logical flows
  – Each flow has its own private address space

• 2. Threads
  – Kernel automatically interleaves multiple logical flows
  – Each flow shares the same address space

• 3. I/O multiplexing with `select()`
  – Programmer manually interleaves multiple logical flows
  – All flows share the same address space
  – Relies on lower-level system abstractions
Concurrent Servers: Multiple Processes

- Spawn separate process for each client

Client 1
- call connect
- ret connect
- call fgets

User goes out to lunch
Client 1 blocks waiting for user to type in data

Server
- call accept
- ret accept
- fork
- call accept
- ret accept
- fork

Child 1
- call read

Child 2
- call
- ... read
- write
- close

Client 2
- call connect
- ret connect
- call fgets
- write
- call read
- end read
- close
Review: Iterative Echo Server

```c
int main(int argc, char **argv)
{
    int listenfd, connfd;
    int port = atoi(argv[1]);
    struct sockaddr_in clientaddr;
    int clientlen = sizeof(clientaddr);

    listenfd = Open_listenfd(port);
    while (1) {
        connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
        echo(connfd);
        Close(connfd);
    }
    exit(0);
}
```

- Accept a connection request
- Handle echo requests until client terminates
```c
int main(int argc, char **argv)
{
    int listenfd, connfd;
    int port = atoi(argv[1]);
    struct sockaddr_in clientaddr;
    int clientlen = sizeof(clientaddr);

    Signal(SIGCHLD, sigchld_handler);
    listenfd = Open_listenfd(port);
    while (1) {
        connfd = Accept(listenfd, (SA *) &clientaddr, &clientlen);
        if (Fork() == 0) {
            Close(listenfd); /* Child closes its listening socket */
            echo(connfd);    /* Child services client */
            Close(connfd);   /* Child closes connection with client */
            exit(0);         /* Child exits */
        }
        Close(connfd);    /* Parent closes connected socket (important!) */
    }
}
```

Process-Based Concurrent Server (1)

Fork separate process for each client

Does not allow any communication between different client handlers
Process-Based Concurrent Server (2)

```c
void sigchld_handler(int sig)
{
    while (waitpid(-1, 0, WNOHANG) > 0) ;
    return;
}
```

- Reap all zombie children
Process Execution Model

- Each client handled by independent process
- No shared state between them
- Both parent & child have copies of `listenfd` and `connfd`
  - Parent must close `connfd`
  - Child must close `listenfd`
Concurrent Server: accept Illustrated

1. Server blocks in accept, waiting for connection request on listening descriptor listenfd

2. Client makes connection request by calling and blocking in connect

3. Server returns connfd from accept. Forks child to handle client. Client returns from connect. Connection is now established between clientfd and connfd
Implementation Must-dos With Process-Based Designs

• Listening server process must reap zombie children to avoid fatal memory leak

• Listening server process must close its copy of connfd
  – Kernel keeps reference for each socket/open file
  – After fork, refcnt(connfd) = 2
  – Connection will not be closed until refcnt(connfd) == 0
View from Server’s TCP Manager

Client 1  Client 2  Server

```
srv> ./echoserverp 15213

c11> ./echoclient greatwhite.ics.cs.cmu.edu 15213

srv> connected to (128.2.192.34), port 50437

cl2> ./echoclient greatwhite.ics.cs.cmu.edu 15213

srv> connected to (128.2.205.225), port 41656
```

<table>
<thead>
<tr>
<th>Connection</th>
<th>Host</th>
<th>Port</th>
<th>Host</th>
<th>Port</th>
</tr>
</thead>
<tbody>
<tr>
<td>Listening</td>
<td>---</td>
<td>---</td>
<td>128.2.220.10</td>
<td>15213</td>
</tr>
<tr>
<td>cl1</td>
<td>128.2.192.34</td>
<td>50437</td>
<td>128.2.220.10</td>
<td>15213</td>
</tr>
<tr>
<td>cl2</td>
<td>128.2.205.225</td>
<td>41656</td>
<td>128.2.220.10</td>
<td>15213</td>
</tr>
</tbody>
</table>
View from Server’s TCP Manager

<table>
<thead>
<tr>
<th>Connection</th>
<th>Host</th>
<th>Port</th>
<th>Host</th>
<th>Port</th>
</tr>
</thead>
<tbody>
<tr>
<td>Listening</td>
<td>---</td>
<td>---</td>
<td>128.2.220.10</td>
<td>15213</td>
</tr>
<tr>
<td>c11</td>
<td>128.2.192.34</td>
<td>50437</td>
<td>128.2.220.10</td>
<td>15213</td>
</tr>
<tr>
<td>c12</td>
<td>128.2.205.225</td>
<td>41656</td>
<td>128.2.220.10</td>
<td>15213</td>
</tr>
</tbody>
</table>

- Port Demultiplexing
  - TCP manager maintains separate stream for each connection
    - Each represented to application program as socket
    - New connections directed to listening socket
    - Data from clients directed to one of the connection sockets
Pros and Cons of Process-Based Designs

• + Handle multiple connections concurrently
• + Clean sharing model
  – descriptors (no)
  – file tables (yes)
  – global variables (no)
• + Simple and straightforward
• – Additional overhead for process control
• – Nontrivial to share data between processes
  – Requires IPC (interprocess communication) mechanisms
    ▪ FIFOs (named pipes), System V shared memory and semaphores
Approach #2: Multiple Threads

• Very similar to approach #1 (multiple processes)
  – But with threads instead of processes
Traditional View of a Process

- Process = process context + code, data, and stack

**Process context**

- Program context:
  - Data registers
  - Condition codes
  - Stack pointer (SP)
  - Program counter (PC)

- Kernel context:
  - VM structures
  - Descriptor table
  - brk pointer

**Code, data, and stack**

- Stack
- Shared libraries
- Run-time heap
- Read/write data
- Read-only code/data

Program context:

- Data registers
- Condition codes
- Stack pointer (SP)
- Program counter (PC)
Alternate View of a Process

- Process = thread + code, data, and kernel context

Thread (main thread)
- SP
- Stack
- Thread context:
  - Data registers
  - Condition codes
  - Stack pointer (SP)
  - Program counter (PC)

Code and Data
- brk
- PC
- shared libraries
- run-time heap
- read/write data
- read-only code/data
- Kernel context:
  - VM structures
  - Descriptor table
  - brk pointer
A Process With Multiple Threads

- Multiple threads can be associated with a process
  - Each thread has its own logical control flow
  - Each thread shares the same code, data, and kernel context
    - Share common virtual address space (inc. stacks)
    - Each thread has its own thread id (TID)

Thread 1 (main thread)  
- Stack 1
- Thread 1 context:
  - Data registers
  - Condition codes
  - SP1
  - PC1

Shared code and data
- Shared libraries
- Run-time heap
- Read/write data
- Read-only code/data
- 0

Thread 2 (peer thread)  
- Stack 2
- Thread 2 context:
  - Data registers
  - Condition codes
  - SP2
  - PC2

Kernel context:
- VM structures
- Descriptor table
- brk pointer
Logical View of Threads

- Threads associated with process form a pool of peers
  - Unlike processes which form a tree hierarchy

Threads associated with process foo

Process hierarchy
Thread Execution

- Single Core Processor
  - Simulate concurrency by time slicing

- Multi-Core Processor
  - Can have true concurrency

Run 3 threads on 2 cores
Logical Concurrency

• Two threads are (logically) concurrent if their flows overlap in time
• Otherwise, they are sequential

• Examples:
  – Concurrent: A & B, A&C
  – Sequential: B & C

```
<table>
<thead>
<tr>
<th>Time</th>
<th>Thread A</th>
<th>Thread B</th>
<th>Thread C</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
```
Threads vs. Processes

• How threads and processes are similar
  – Each has its own logical control flow
  – Each can run concurrently with others (possibly on different cores)
  – Each is context switched

• How threads and processes are different
  – Threads share code and some data
    • Processes (typically) do not
  – Threads are somewhat less expensive than processes
    • Process control (creating and reaping) is twice as expensive as thread control
    • Linux numbers:
      – ~20K cycles to create and reap a process
      – ~10K cycles (or less) to create and reap a thread
Posix Threads (Pthreads) Interface

- **Pthreads**: Standard interface for ~60 functions that manipulate threads from C programs
  - Creating and reaping threads
    - `pthread_create()`
    - `pthread_join()`
  - Determining your thread ID
    - `pthread_self()`
  - Terminating threads
    - `pthread_cancel()`
    - `pthread_exit()`
    - `exit() [terminates all threads] , RET [terminates current thread]`
  - Synchronizing access to shared variables
    - `pthread_mutex_init`
    - `pthread_mutex_[un]lock`
    - `pthread_cond_init`
    - `pthread_cond_[timed]wait`
The Pthreads "hello, world" Program

/*
 * hello.c - Pthreads "hello, world" program
 */
#include "csapp.h"

void *thread(void *vargp);

int main()
{
    pthread_t tid;

    Pthread_create(&tid, NULL, thread, NULL);
    Pthread_join(tid, NULL);
    exit(0);
}

/* thread routine */
void *thread(void *vargp) {
    printf("Hello, world!\n");
    return NULL;
}
Execution of Threaded “hello, world”

- Call `Pthread_create()`
  - `Pthread_create()` returns
- Call `Pthread_join()`
  - Main thread waits for peer thread to terminate
- `Pthread_join()` returns
- `exit()` terminates
  - Main thread and any peer threads

Main thread waits for peer thread to terminate

Peer thread

`printf()`

Return `NULL`;
( peer thread terminates)

29
Thread-Based Concurrent Echo Server (1)

```c
int main(int argc, char **argv) {
    int port = atoi(argv[1]);
    struct sockaddr_in clientaddr;
    int clientlen = sizeof(clientaddr);
    pthread_t tid;

    int listenfd = Open_listenfd(port);
    while (1) {
        int *connfdp = Malloc(sizeof(int));
        *connfdp = Accept(listenfd,
            (SA *) &clientaddr, &clientlen);
        Pthread_create(&tid, NULL, echo_thread, connfdp);
    }
}
```

- Spawn new thread for each client
- Pass it copy of connection file descriptor
- Note use of `Malloc()`!
  - Without corresponding `Free()`
Thread-Based Concurrent Echo Server (2)

- Run thread in “detached” mode
  - Runs independently of other threads
  - Reaped when it terminates
- Free storage allocated to hold clientfd
  - “Producer-Consumer” model

```c
/* thread routine */
void *echo_thread(void *vargp)
{
    int connfd = *((int *)vargp);
Pthread_detach(pthread_self());
Free(vargp);
echo(connfd);
Close(connfd);
return NULL;
}
```
Threaded Execution Model

- Multiple threads within single process
- Some state between them
  - File descriptors
Potential Form of Unintended Sharing

while (1) {
    int connfd = Accept(listenfd, (SA *) &clientaddr, &clientlen);
    Pthread_create(&tid, NULL, echo_thread, (void *) &connfd);
}

Why would both copies of vargp point to same location?
Could this race occur?

Main

```c
int i;
for (i = 0; i < 100; i++) {
    Pthread_create(&tid, NULL, thread, &i);
}
```

Thread

```c
void *thread(void *vargp) {
    int i = *((int *)vargp);
    Pthread_detach(pthread_self());
    save_value(i);
    return NULL;
}
```

• Race Test
  – If no race, then each thread would get different value of `i`
  – Set of saved values would consist of one copy each of 0 through 99.
Experimental Results

- The race can really happen!
Issues With Thread-Based Servers

• Must run “detached” to avoid memory leak.
  – At any point in time, a thread is either joinable or detached.
  – Joinable thread can be reaped and killed by other threads.
    • must be reaped (with pthread_join) to free memory resources.
  – Detached thread cannot be reaped or killed by other threads.
    • resources are automatically reaped on termination.
  – Default state is joinable.
    • use pthread_detach(pthread_self()) to make detached.

• Must be careful to avoid unintended sharing.
  – For example, passing pointer to main thread’s stack
    
    Pthread_create(&tid, NULL, thread, (void *)&connfd);

• All functions called by a thread must be thread-safe
Pros and Cons of Thread-Based Designs

• + Easy to share data structures between threads
  – e.g., logging information, file cache.

• + Threads are more efficient than processes.

• – Unintentional sharing can introduce subtle and hard-to-reproduce errors!
  – The ease with which data can be shared is both the greatest strength and the greatest weakness of threads.
  – Hard to know which data shared & which private
  – Hard to detect by testing
     • Probability of bad race outcome very low
     • But nonzero!
Event-Based Concurrent Servers Using I/O Multiplexing

• Use library functions to construct scheduler within single process
• Server maintains set of active connections
  – Array of connfd’s
• Repeat:
  – Determine which connections have pending inputs
  – If listenfd has input, then accept connection
    • Add new connfd to array
  – Service all connfd’s with pending inputs
• Details in book
I/O Multiplexed Event Processing

Active Descriptors

.listenfd = 3

clientfd

0 10
1 7
2 4
3 -1
4 -1
5 12
6 5
7 -1
8 -1
9 -1

Pending Inputs

.listenfd = 3

Read

clientfd

10
7
4
-1
-1
12
5
-1
-1
-1
Pros and Cons of I/O Multiplexing

• + One logical control flow.
• + Can single-step with a debugger.
• + No process or thread control overhead.
  – Design of choice for high-performance Web servers and search engines.
• – Significantly more complex to code than process- or thread-based designs.
  – Hard to provide fine-grained concurrency
    – E.g., our example will hang up with partial lines.
• – Cannot take advantage of multi-core
  – Single thread of control
Approaches to Concurrency

• Processes
  – Hard to share resources: Easy to avoid unintended sharing
  – High overhead in adding/removing clients

• Threads
  – Easy to share resources: Perhaps too easy
  – Medium overhead
  – Not much control over scheduling policies
  – Difficult to debug
    • Event orderings not repeatable

• I/O Multiplexing
  – Tedious and low level
  – Total control over scheduling
  – Very low overhead
  – Cannot create as fine grained a level of concurrency
  – Does not make use of multi-core