

Loop Invariants: Part 2



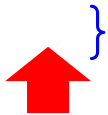
Maintaining the Loop Invariant

- A claimed *loop invariant* is valid only if the loop body actually maintains the property, i.e., the loop invariant remains true at the end of each execution of the loop body
- To show this, you may assume:
 - The loop invariant is valid at the start of the loop body
 - The loop condition is true

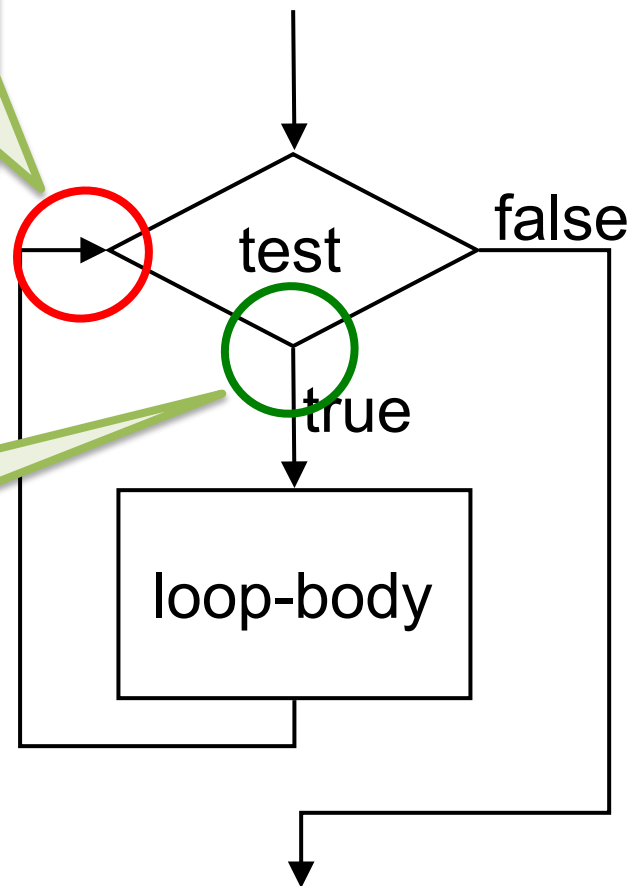
The Loop Invariant Picture

To show the loop invariant true
at this red point...

```
while (test) {  
    loop-body
```



...assume the invariant is true
at this green point.



Isn't This Reasoning Circular?

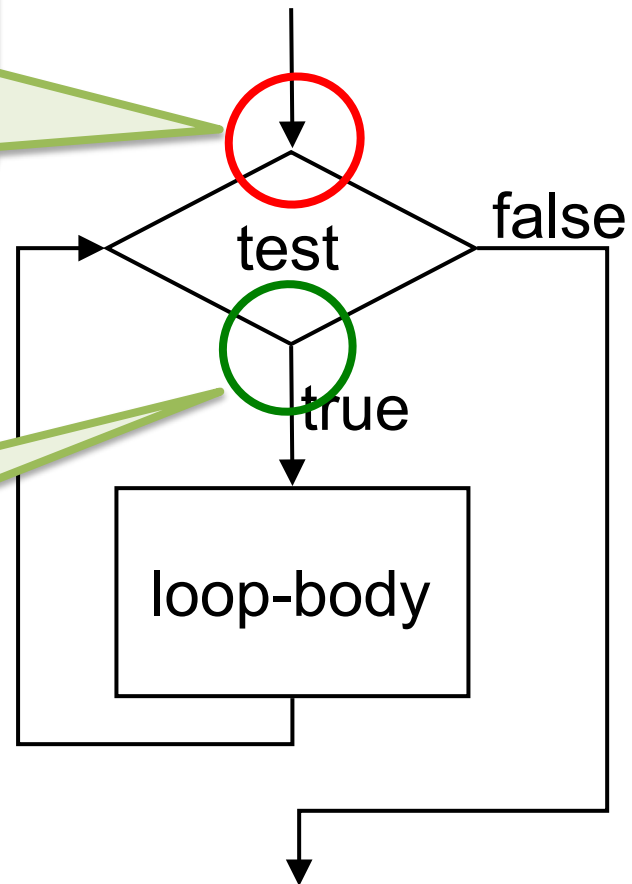
- To justify the assumption that the loop invariant holds just *after* the loop condition test, didn't we argue that assumption was valid ***because*** the loop invariant holds just *before* the test?
- This is not circular reasoning but rather mathematical induction
 - See the confidence-building approach for reasoning about why recursion works

The Loop Invariant Picture

Show the loop invariant is true
at this red point...

```
↑ while (test) { ↑  
  loop-body  
}
```

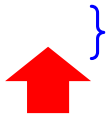
...which means it is true
at this green point
on the *first* iteration...



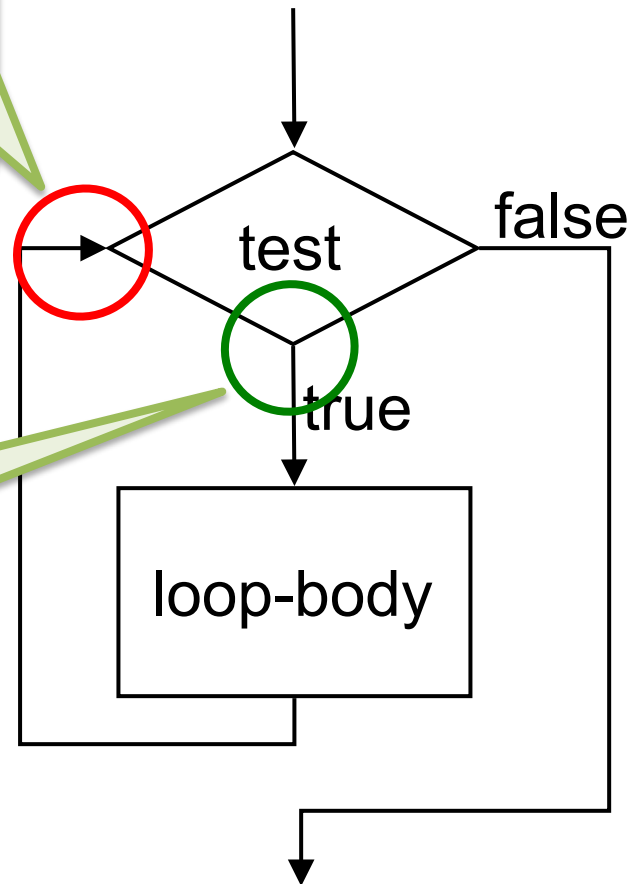
The Loop Invariant Picture

Show the loop invariant is true
at this red point
at the end of the *first* iteration...

```
while (test) {  
    loop-body
```



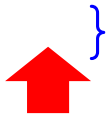
...which means it is true
at this green point
on the *second* iteration...



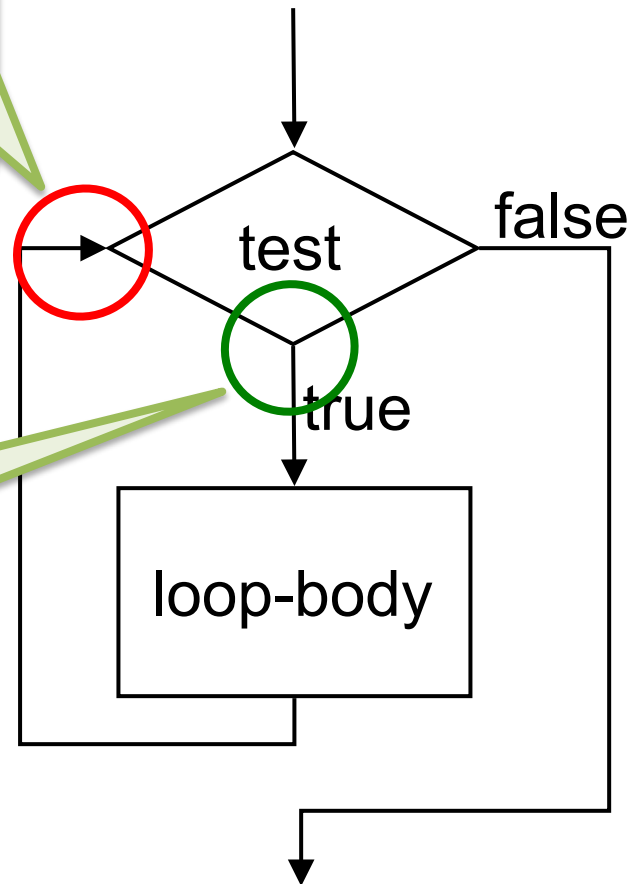
The Loop Invariant Picture

Show the loop invariant is true
at this red point
at the end of the k -th iteration...

```
while (test) {  
    loop-body
```



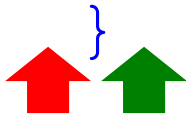
...which means it is true
at this green point
on the $(k+1)$ -st iteration...



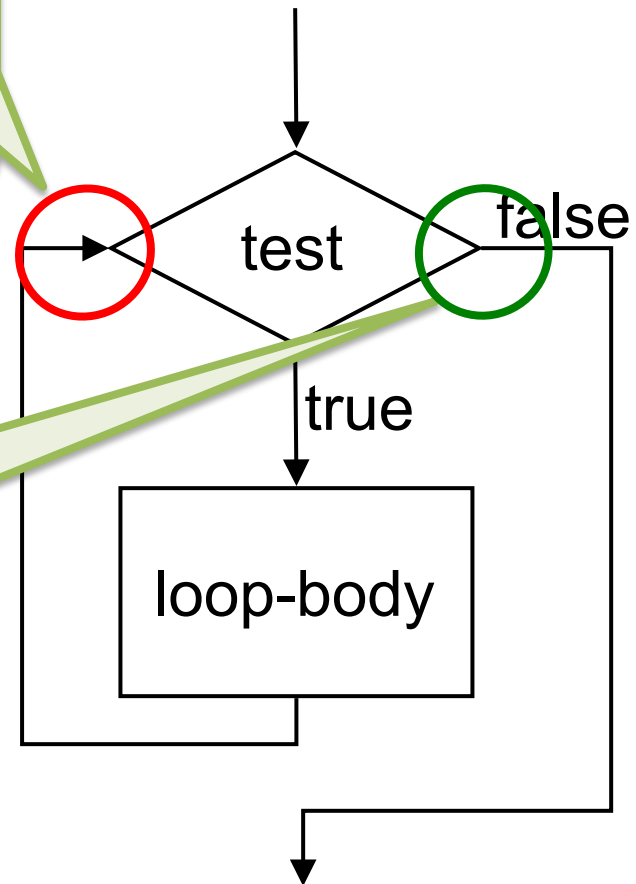
The Loop Invariant Picture

Show the loop invariant is true
at this red point
at the end of the *last* iteration...

```
while (test) {  
    loop-body
```



...which means it is true
at this green point
when the loop terminates.



Example #2

```
double power(double x, int p)
```

- Returns x to the power p .
- Requires:

$$p > 0$$

- Ensures:

$$power = x^p$$

Example #2: Method Body

```
double result = 1.0;
double factor = x;
int pLeft = p;
/**
 * @updates result, factor, pLeft
 * @maintains
 * pLeft >= 0 and
 * result * factor(pLeft) = x(p)
 * @decreases
 * pLeft
 */
while (pLeft > 0) {
    ...
}
return result;
```

```
x = 3.0
p = 5
result = 1.0
factor = 3.0
pLeft = 5
```

```
/**
 * @maintains
 * pLeft >= 0 and
 * result * factor^(pLeft) = x^(p)
 */
while (pLeft > 0) {
```

```
...
```

```
}
```

```
x = 3.0
p = 5
result =
factor =
pLeft =
```

What are the values of the other variables here?

What Loop Body Would Work?

- Observation: `pLeft` is positive at the start of the loop body, and the loop body has to decrease it
- How could you decrease `pLeft`?

Idea 1: Decrement `pLeft`

```
/**
 * @updates result, factor, pLeft
 * @maintains
 *  $pLeft \geq 0$  and
 *  $result * factor^{pLeft} = x^p$ 
 * @decreases
 *  $pLeft$ 
 */
while (pLeft > 0) {
    ...
    pLeft--;
}
```

The Rest of the Loop Body

- This is true at the start of the loop body (for each clause: why?):

pLeft ≥ 0 **and**
result * *factor*^(*pLeft*) = *x*^(*p*) **and**
pLeft > 0

- This has to be true at the end of the loop body (for each clause: why?):

pLeft - 1 ≥ 0 **and**
result * *factor*^(*pLeft* - 1) = *x*^(*p*)

The Rest of

Since x and p do not change in the loop (why?), the two circled expressions must be equal at the end of the loop body.

- This is true at the (why?):

$pLeft \geq 0$ **and**

$result * factor^{pLeft} = x^p$ **and**

$pLeft > 0$

- This has to be true at the end of the loop body (why?):

$pLeft - 1 \geq 0$ **and**

$result * factor^{pLeft - 1} = x^p$

The Rest of the Loop Body

- We need to update `result` from `resulti` to `resultf`, and/or update `factor` from `factori` to `factorf`, to make this true:

$$\begin{aligned} \text{result}_i * \text{factor}_i^{\text{pLeft}} &= \\ \text{result}_f * \text{factor}_f^{\text{pLeft} - 1} \end{aligned}$$

- How could you do that?

The Rest of the Loop Body

- We need to update `result` from `resulti` to `resultf`, and/or update `factor` from `factori` to `factorf`, to make this true:

$$\begin{aligned} result_i * factor_i^{pLeft} = \\ result_f * factor_f^{pLeft - 1} \end{aligned}$$

- How could you do that?

One line of code that updates `result`:

```
result *= factor;
```

Idea 2: Halve `pLeft`

```
/**
 * @updates result, factor, pLeft
 * @maintains
 * pLeft >= 0 and
 * result * factor^(pLeft) = x^(p)
 * @decreases
 * pLeft
 */
while (pLeft > 0) {
    ...
    pLeft /= 2;
}
```

The Rest of the Loop Body

- This is true at the start of the loop body (for each clause: why?):

$pLeft \geq 0$ **and**
 $result * factor^{pLeft} = x^p$ **and**
 $pLeft > 0$

- This has to be true at the end of the loop body (for each clause: why?):

$pLeft/2 \geq 0$ **and**
 $result * factor^{pLeft/2} = x^p$

The Rest of the Loop Body

- We need to update `result` from `resulti` to `resultf`, and/or update `factor` from `factori` to `factorf`, to make this true:

$$\text{result}_i * \text{factor}_i^{(pLeft)} = \text{result}_f * \text{factor}_f^{(pLeft/2)}$$

- How can you do that?
 - Remember: `pLeft` may be even or odd, but start with the simpler case where it is even